

# Performance Analysis of MIMO MRC Systems With Feedback Delay and Channel Estimation Error

Min Li, Min Lin, *Member, IEEE*, Wei-Ping Zhu, *Senior Member, IEEE*, Yongming Huang, *Member, IEEE*, Arumugam Nallanathan, *Senior Member, IEEE*, and Quan Yu

**Abstract**—In this paper, we investigate the performance of a multiple-input–multiple-output (MIMO) maximal ratio combining (MRC) system with feedback delay and channel estimation error. By taking these practical imperfect factors into account, we first formulate the system model and derive the moment-generating function of the output signal-to-noise ratio (SNR), which serves as the basis for further system performance analysis. Then, we compute the probability density function (pdf) and the cumulative distribution function (cdf) of the output SNR. Furthermore, we derive the analytical expressions of the exact and approximate average symbol error rates (SERs) of the MIMO MRC system, which are used to investigate the performance loss in terms of the array gain and diversity order. Finally, computer simulations are conducted to show the efficacy of the analytical results and the effect of feedback delay and channel estimation error on the system performance.

**Index Terms**—Channel estimation error, diversity order, feedback delay, maximal ratio combining (MRC), multiple-input–multiple-output (MIMO), performance analysis.

## I. INTRODUCTION

THE multiple-input–multiple-output (MIMO) technique employing an antenna array on both the transmitter and receiver sides has received considerable attention over the few past decades, due to its ability of increasing the capacity and improving the link quality for wireless networks [1]–[3].

Manuscript received February 11, 2014; revised September 15, 2014 and January 8, 2015; accepted January 31, 2015. Date of publication February 19, 2015; date of current version February 9, 2016. This work was supported in part by the National Natural Science Foundation of China under Grant 61271255, Grant 61231011, and Grant 61422105; by the Natural Science Foundation of Jiangsu Province under Grant BK20131068; and by the Open Research Fund of the National Mobile Communications Research Laboratory at Southeast University under Grant 2012D15. The review of this paper was coordinated by Prof. Y. L. Guan.

M. Li and Q. Yu are with the Institute of Communication Engineering, PLA University of Science and Technology, Nanjing 210007, China (e-mail: limin\_sclsw@163.com).

M. Lin is with PLA University of Science and Technology, Nanjing 210007, China, and also with the National Mobile Communications Research Laboratory, Southeast University, Nanjing 210096, China (e-mail: linmin63@163.com).

W.-P. Zhu is with the Department of Electrical and Computer Engineering, Concordia University, Montreal, QC H3G 1M8, Canada (e-mail: weiping@ece.concordia.ca).

Y. Huang is with the School of Information Science and Engineering, Southeast University, Nanjing 210096, China (e-mail: huangym@seu.edu.cn).

A. Nallanathan is with the Department of Informatics, King's College London, London WC2R 2LS, U.K. (e-mail: arumugam.nallanathan@kcl.ac.uk).

Digital Object Identifier 10.1109/TVT.2015.2404820

Among various MIMO strategies, MIMO maximal ratio combining (MRC), which applies the principle of beamforming (BF) to maximizing the output signal-to-noise ratio (SNR), is of particular interest and has been widely studied in the open literature [4]–[17].

When perfect channel state information (CSI) is available at both the transmitter and the receiver, closed-form expressions of the probability density function (pdf) of the received SNR and the average symbol error rate (SER) for the MIMO MRC systems have been obtained in [5] and [6], and the outage probability (OP) and ergodic capacity have been derived in [7], where each entry of the channel matrix is assumed to be independent and identically distributed (i.i.d.). Performance analysis of double-correlated and arbitrarily correlated Rayleigh fading channels can be found in [8] and [9], respectively. Some statistical results of MIMO MRC systems over correlated Rician fading channels have been obtained in [10]. In practical MIMO systems, the receiver's combining vector is often calculated based on imperfect CSI due to the channel estimation error. Moreover, the transmit BF vector is chosen according to the outdated CSI because of the feedback delay. Under this situation, closed-form expressions for the moment-generating function (mgf) and the pdf of the received output SNR considering the effects of outdated and finite-rate feedback as well as further accurate analytical error rate expressions have been derived in [11]. In [12], the performance of transmit BF on multiple-antenna Rayleigh fading channels with imperfect channel feedback has been analyzed, where the feedback imperfections are characterized in terms of noisy channel estimation, feedback delay, and finite-rate channel quantization. The exact average SER, OP, and ergodic capacity performance of BF in spatially correlated multiple-input–single-output (MISO) systems with channel estimation error and feedback delay has been analyzed in [13]. However, in [11]–[13] the study has been limited to the MISO case, which can be regarded as a special case of the MIMO MRC system with a single antenna at the receiver. More recently, many researchers have examined the effects of various errors on the MIMO MRC systems, where both the transmitter and the receiver are equipped with multiple antennas. For example, Chen and Tellambura in [14] have investigated the effect of Gaussian estimation errors on the performance of maximal ratio transmission (MRT) in MIMO systems. In [15], the performance of MIMO wireless communications with transmit BF and receive MRC was studied in the presence of channel estimation error and cochannel interferences. Furthermore, the joint impact of channel estimation error, feedback

delay, and cochannel interference on the performance of MIMO systems employing MRT has been examined in [16]. It is worth mentioning that the error term due to imperfect CSI is treated as noise or interference in [14]–[16]. This treatment is not highly efficient, since the error term can be demodulated via envelope detection in practical wireless systems and, thus, can be used to enhance the output signal power [20]. Motivated by this observation, in [17], the error term is considered as signal, and the bit error rate and OP of the singular-value-decomposition-based MIMO systems with feedback delay and channel estimation error are analyzed. However, the main drawback of the work in [17] is that only the channel gain of the signal term is regarded as a random variable (RV). In fact, the channel gains of both the signal term and the error term should be regarded as RVs in the performance analysis [11]. This observation motivates the work presented in this paper.

For reasons of clarity, we summarize the main contributions of this paper as follows.

- Based on the model of feedback delay and channel estimation error in [11], we present a closed-form expression of the output SNR for the MIMO MRC system, where a realistic scenario is considered by treating the error term due to imperfect CSI as signal and assuming that the channel gains of both the signal and error terms are RVs.
- Analytical expressions are derived for the mgf, the pdf, and the cumulative distribution function (cdf) of the output SNR to investigate the statistical properties of the MIMO MRC system with various errors, which is a general model containing the related work, for example, of [11]–[13] as special cases. Meanwhile, these analytical results are different from those in [14]–[17] with accurate evaluation.
- New theoretical formulas are developed for the average SER (ASER) of the system, and a simple approximate ASER expression at high SNR is also derived to examine the asymptotic behavior of the MIMO MRC system conveniently.

The rest of this paper is organized as follows. In Section II, we formulate the model of the MIMO MRC system in the presence of channel estimation error and feedback delay. In Section III, we give the derivation of the mgf, the pdf, and the cdf for the output SNR. In Section IV, we work on closed-form expressions for the exact and approximate ASERs. Section V provides computer simulation results to validate our performance analysis, with respect to different errors, antenna configurations, and modulation formats. Finally, Section VI draws the conclusion of our work in this paper.

**Notations:** Boldface letters represent vectors or matrices,  $(\cdot)^H$  is the Hermitian transpose,  $(\cdot)^*$  is the complex conjugate, and  $E[\cdot]$  is the expectation.  $\exp(\cdot)$  denotes the exponential function,  $|\cdot|$  is the absolute value,  $\|\cdot\|_F$  is the Frobenius norm, and  $Q(\cdot)$  is the Gaussian  $Q$ -function.  $\chi_N^2$  stands for a chi-square distributed RV with  $N$  degrees of freedom,  $\mathcal{N}_c(m, \sigma^2)$  for a complex Gaussian distribution with mean  $m$  and variance  $\sigma^2$ , and  $\min\{a, b\}$  for the minimum of  $a$  and  $b$ .

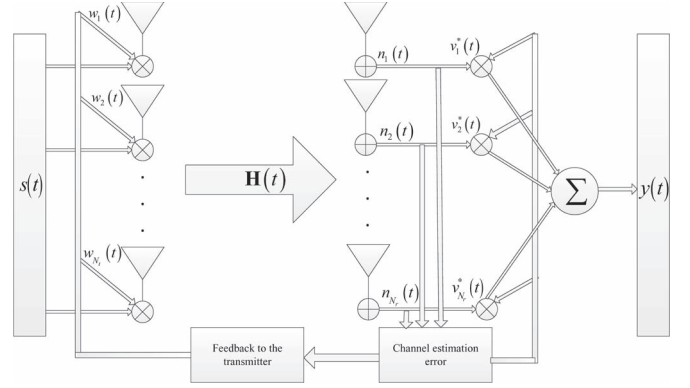


Fig. 1. System model of MIMO MRC with channel estimation error and feedback delay.

$\text{diag}(a_1, a_2, \dots, a_N)$  represents an  $N \times N$  diagonal matrix with  $a_1, a_2, \dots, a_N$  as its diagonal elements,  $\mathbf{I}_N$  is an  $N \times N$  identity matrix, and  $o(x^n)$  are terms with order higher than  $n$ .

## II. SYSTEM MODEL

As shown in Fig. 1, we consider a MIMO system with  $N_t$  antennas at the transmitter and  $N_r$  antennas at the receiver.

The received signal can be written as

$$\mathbf{r}(t) = \mathbf{H}(t)\mathbf{w}(t)s(t) + \mathbf{n}(t) \quad (1)$$

where  $s(t)$  is the transmitted data symbol with transmit power  $P_s = E[|s(t)|^2]$ , and  $\mathbf{w}(t)$  is the  $N_t \times 1$  transmit BF weight vector normalized to  $\|\mathbf{w}(t)\|_F = 1$ . In addition,  $\mathbf{H}(t)$  represents the  $N_r \times N_t$  Rayleigh fading channel matrix with its components  $[\mathbf{H}(t)]_{i,j}$  being i.i.d. complex Gaussian RVs of zero mean and unit variance, i.e.,  $[\mathbf{H}(t)]_{i,j} \sim \mathcal{N}_c(0, 1)$ , and  $\mathbf{n}(t)$  is an  $N_r \times 1$  vector denoting the additive white Gaussian noise (AWGN) satisfying  $\mathbf{n}(t) \sim \mathcal{N}_c(\mathbf{0}, N_0 \mathbf{I}_{N_r})$ . After performing MRC with BF weight vector  $\mathbf{v}(t)$  ( $N_r \times 1$ ) satisfying  $\|\mathbf{v}(t)\|_F = 1$ , the output signal of the receiver is given by

$$y(t) = \mathbf{v}^H(t)\mathbf{r}(t) = \mathbf{v}^H(t)\mathbf{H}(t)\mathbf{w}(t)s(t) + \mathbf{v}^H(t)\mathbf{n}(t). \quad (2)$$

Thus, the output SNR can be expressed as

$$\gamma(t) = |\mathbf{v}^H(t)\mathbf{H}(t)\mathbf{w}(t)|^2 \gamma_s \quad (3)$$

where  $\gamma_s = E\{|s(t)|^2\}/N_0 = P_s/N_0$  is the transmit SNR. In what follows, we aim to derive a closed-form expression of  $\gamma(t)$  in terms of the available CSI.

Let us consider first the perfect-CSI case. By applying the spectral theorem, the matrix  $\mathbf{H}^H(t)\mathbf{H}(t)$  can be decomposed as

$$\begin{aligned} \mathbf{H}^H(t)\mathbf{H}(t) &= [\mathbf{u}_1(t), \mathbf{u}_2(t), \dots, \mathbf{u}_{N_t}(t)] \\ &\quad \times \text{diag}(\lambda_1(t), \lambda_2(t), \dots, \lambda_{N_t}(t)) \\ &\quad \times [\mathbf{u}_1(t), \mathbf{u}_2(t), \dots, \mathbf{u}_{N_t}(t)]^H \end{aligned} \quad (4)$$

where  $\lambda_i(t)$ 's are arranged in a nonincreasing order. According to the principle of MIMO MRC [4], if perfect CSI is available at the transmitter,  $\mathbf{w}(t)$  is chosen to be the eigenvector

corresponding to the largest eigenvalue of  $\mathbf{H}^H(t)\mathbf{H}(t)$ , that is,  $\mathbf{w}(t) = \mathbf{u}_1(t)$ , and simultaneously,  $\mathbf{v}(t)$  is given by  $\mathbf{v}(t) = \mathbf{H}(t)\mathbf{u}_1(t)/\|\mathbf{H}(t)\mathbf{u}_1(t)\|_F$ . Accordingly, the output SNR in (3) can be represented as

$$\gamma(t) = \lambda_1(t)\gamma_s. \quad (5)$$

In practice, since the CSI is estimated at the receiver and fed back to the transmitter through a delayed feedback channel as shown in Fig. 1, the transmit and receive BF vectors are calculated based on the delayed CSI with a channel estimation error [18], [19]. As such, the channel model with the estimation error and feedback delay can be described as

$$\mathbf{H}(t) = \rho_d \hat{\mathbf{H}}(t - T_d) + \mathbf{E}_e + \mathbf{E}_d \quad (6)$$

where  $T_d$  is the feedback delay,  $\hat{\mathbf{H}}(t)$  is the estimated channel matrix at the receiver, satisfying  $[\hat{\mathbf{H}}(t)]_{i,j} \sim \mathcal{N}_c(0, 1 - \sigma_e^2)$ ,  $\mathbf{E}_e = \mathbf{H}(t) - \hat{\mathbf{H}}(t)$  is the channel estimation error matrix with  $[\mathbf{E}_e]_{i,j} \sim \mathcal{N}_c(0, \sigma_e^2)$ . In (6),  $\mathbf{E}_d = \hat{\mathbf{H}}(t) - \rho_d \hat{\mathbf{H}}(t - T_d)$  is the additional channel estimation error matrix caused by the feedback delay, obeying  $[\mathbf{E}_d]_{i,j} \sim \mathcal{N}_c(0, (1 - |\rho_d|^2)(1 - \sigma_e^2))$ , where  $\rho_d$  denotes the normalized correlation coefficient between  $[\hat{\mathbf{H}}(t)]_{i,j}$  and its delayed sample  $[\hat{\mathbf{H}}(t - T_d)]_{i,j}$ , i.e.,

$$\rho_d = \frac{E \left\{ [\hat{\mathbf{H}}(t)]_{i,j} [\hat{\mathbf{H}}(t - T_d)]_{i,j}^* \right\}}{1 - \sigma_e^2}. \quad (7)$$

Clearly,  $\mathbf{E}_e$  is independent of  $\hat{\mathbf{H}}(t)$  and  $\mathbf{E}_d$ . By letting  $\hat{\mathbf{H}}(t - T_d) = \sqrt{1 - \sigma_e^2} \tilde{\mathbf{H}}(t - T_d)$  and the channel error matrix  $\mathbf{E} = \mathbf{E}_e + \mathbf{E}_d$ , the components of  $\tilde{\mathbf{H}}(t - T_d)$  are i.i.d. with  $\mathcal{N}_c(0, 1)$ . Note that  $\mathbf{E}$  is independent of  $\tilde{\mathbf{H}}(t - T_d)$ , whose entries are i.i.d. and obey  $\mathcal{N}_c(0, 1 - |\rho_d|^2(1 - \sigma_e^2))$ . The channel matrix can thus be rewritten as

$$\mathbf{H}(t) = \rho_d \sqrt{1 - \sigma_e^2} \tilde{\mathbf{H}}(t - T_d) + \mathbf{E}. \quad (8)$$

Since only the delayed version of the estimated CSI  $\hat{\mathbf{H}}(t - T_d) = \sqrt{1 - \sigma_e^2} \tilde{\mathbf{H}}(t - T_d)$  is available at the transmitter,  $\mathbf{w}(t)$  is calculated according to  $\tilde{\mathbf{H}}(t - T_d)$ . The eigendecomposition of  $\tilde{\mathbf{H}}^H(t) \tilde{\mathbf{H}}(t)$  can be denoted as

$$\tilde{\mathbf{H}}^H(t) \tilde{\mathbf{H}}(t) = \tilde{\mathbf{U}}(t) \tilde{\mathbf{D}}(t) \tilde{\mathbf{U}}^H(t) \quad (9)$$

where  $\tilde{\mathbf{D}}(t) = \text{diag}(\tilde{\lambda}_1(t), \tilde{\lambda}_2(t), \dots, \tilde{\lambda}_{N_t}(t))$  with its diagonal elements arranged in a nonincreasing order, and  $\tilde{\mathbf{U}}(t) = [\tilde{\mathbf{u}}_1(t) \ \tilde{\mathbf{u}}_2(t) \ \dots \ \tilde{\mathbf{u}}_{N_t}(t)]$  with  $\tilde{\mathbf{u}}_i(t)$  being the eigenvector corresponding to the eigenvalue  $\tilde{\lambda}_i(t)$ . As  $\tilde{\mathbf{H}}^H(t - T_d) \tilde{\mathbf{H}}(t - T_d)$  is available at the transmitter,  $\mathbf{w}(t)$  should be chosen as

$$\mathbf{w}(t) = \tilde{\mathbf{u}}_1(t - T_d). \quad (10)$$

Moreover, according to the principle of MRC at the receiver,  $\mathbf{v}(t)$  is given by

$$\mathbf{v}(t) = \frac{\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)}{\|\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)\|_F}. \quad (11)$$

Furthermore, by considering the effect of various errors and substituting (8), (10), and (11) into (2), the output signal of the receiver can be rewritten as

$$\begin{aligned} y(t) &= \underbrace{\rho_d \sqrt{1 - \sigma_e^2} \|\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)\|_F}_{\text{signal term}} s(t) \\ &\quad + \underbrace{\frac{\tilde{\mathbf{u}}_1^H(t - T_d) \tilde{\mathbf{H}}^H(t - T_d)}{\|\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)\|_F} \mathbf{E} \tilde{\mathbf{u}}_1(t - T_d)}_{\text{error term}} s(t) \\ &\quad + \underbrace{\frac{\tilde{\mathbf{u}}_1^H(t - T_d) \tilde{\mathbf{H}}^H(t - T_d)}{\|\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)\|_F} \mathbf{n}(t)}_{\text{noise term}}. \end{aligned} \quad (12)$$

Compared with (2), it can be found that the error term due to imperfect CSI is added in the output signal. Since the error term can be demodulated with envelope detection [20], it should be treated as signal as in [17] rather than noise or interference as in [14]–[16]. As a result, after the necessary computations, the output SNR at the receiver can be expressed as

$$\begin{aligned} \gamma(t) &= \left| \rho_d \sqrt{1 - \sigma_e^2} \|\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)\|_F \right. \\ &\quad \left. + \frac{\tilde{\mathbf{u}}_1^H(t - T_d) \tilde{\mathbf{H}}^H(t - T_d)}{\|\tilde{\mathbf{H}}(t - T_d) \tilde{\mathbf{u}}_1(t - T_d)\|_F} \mathbf{E} \tilde{\mathbf{u}}_1(t - T_d) \right|^2 \gamma_s. \end{aligned} \quad (13)$$

Note that conditioned on  $\tilde{\mathbf{H}}(t - T_d)$  and  $\tilde{\mathbf{u}}_1(t - T_d)$ ,  $\gamma(t)$  is a noncentral chi-square distributed RV following  $\gamma(t) \sim \chi_2^2$ , whose sum of mean square and variance of each freedom are, respectively, given by [20]

$$\tau = |\rho_d|^2 (1 - \sigma_e^2) \tilde{\lambda}_1(t - T_d) \gamma_s \quad (14)$$

$$\sigma^2 = [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s / 2. \quad (15)$$

*Remark 1:* When perfect CSI is available, we have  $\rho_d = 1$ ,  $\sigma_e^2 = 0$ ,  $\tilde{\mathbf{H}}(t - T_d) = \mathbf{H}(t)$ ,  $\tilde{\mathbf{u}}_1(t - T_d) = \mathbf{u}_1(t)$ , and  $\mathbf{E}$  reduces to an  $N_r \times N_t$  null matrix. Thus, (13) is simplified to (5), which can also be found in some related works, such as [6, eq. (9)] and [7, eq. (5)], implying that the MIMO MRC system with perfect CSI considered in prior literatures is a special case of our general model.

*Remark 2:* According to [14, eq. (6)], [15, eq. (5)], and [16, eq. (6)], the output SNR is given by

$$\gamma(t) = \frac{|\rho_d|^2 (1 - \sigma_e^2) \tilde{\lambda}_1(t - T_d) \gamma_s}{[1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s + 1} \quad (16)$$

where the error term due to imperfect CSI is treated as noise or interference. Meanwhile, following the work in [17, eq. (11)],

the output SNR can be expressed as

$$\gamma(t) = \left[ |\rho_d|^2 (1 - \sigma_e^2) \tilde{\lambda}_1(t - T_d) + 1 - |\rho_d|^2 (1 - \sigma_e^2) \right] \gamma_s \quad (17)$$

where only the channel gain of the signal term is regarded as an RV. Clearly, compared with these related works investigating a MIMO MRC system with feedback delay and channel estimation error, our work has a rigorous system model and clear physical meaning.

### III. OUTPUT SIGNAL-TO-NOISE RATIO STATISTICS

Here, we study the statistical properties of the MIMO MRC system with feedback delay and channel estimation error, by deriving the closed-form expressions of the mgf, the pdf, and the cdf of the output SNR.

#### A. MGF of the Output SNR

By using the conditional mgf  $\psi_{\gamma(t)|\tilde{\lambda}_1(t-T_d)}(s)$  of  $\gamma(t)$  on  $\tilde{\lambda}_1(t - T_d)$  along with the pdf  $f_{\tilde{\lambda}_1(t-T_d)}(x)$  of  $\tilde{\lambda}_1(t - T_d)$ , the mgf of  $\gamma(t)$  in (13) can be expressed as

$$\psi_{\gamma(t)}(s) = \int_0^\infty \psi_{\gamma(t)|x}(s) f_{\tilde{\lambda}_1(t-T_d)}(x) dx. \quad (18)$$

Before deriving an analytical expression of (18), we first introduce the following theorem, which has been proven in [20]. It states that if  $X$  satisfies a noncentral  $\chi_N^2$  with the variance of each freedom being  $\sigma^2$  and the sum of mean square being  $\tau$ , its pdf is given by

$$f_X(x) = \frac{1}{2\sigma^2} \left( \frac{x}{\tau} \right)^{\frac{N-2}{4}} \exp\left(-\frac{\tau+x}{2\sigma^2}\right) I_{\frac{N}{2}-1}\left(\sqrt{x}\frac{\tau}{\sigma^2}\right) \quad (19)$$

and its mgf can be further calculated as

$$\begin{aligned} \psi_X(s) &= \int_0^\infty f_X(x) \exp(sx) dx \\ &= \frac{1}{(1 - 2\sigma^2 s)^{N/2}} \exp\left(\frac{\tau s}{1 - 2\sigma^2 s}\right). \end{aligned} \quad (20)$$

Due to the fact that  $\gamma(t) \sim \chi_2^2$ , whose sum of mean square and variance of each freedom are given by (14) and (15), it is straightforward to obtain

$$\begin{aligned} \psi_{\gamma(t)|\tilde{\lambda}_1(t-T_d)}(s) &= \int_0^\infty f_{\gamma(t)|\tilde{\lambda}_1(t-T_d)}(x) \exp(sx) dx \\ &= \frac{1}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \\ &\quad \times \exp\left\{ \frac{|\rho_d|^2 (1 - \sigma_e^2) \tilde{\lambda}_1(t - T_d) \gamma_s s}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \right\}. \end{aligned} \quad (21)$$

Using (21) into (18) yields

$$\begin{aligned} \psi_{\gamma(t)}(s) &= \frac{1}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \\ &\quad \times \int_0^\infty f_{\tilde{\lambda}_1(t-T_d)}(x) \exp\left\{ \frac{|\rho_d|^2 (1 - \sigma_e^2) \gamma_s s}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} x \right\} dx \\ &= \frac{1}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \\ &\quad \times \psi_{\tilde{\lambda}_1(t-T_d)}\left\{ \frac{|\rho_d|^2 (1 - \sigma_e^2) \gamma_s s}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \right\} \\ &= \frac{1}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \psi_{\tilde{\lambda}_1(t)} \\ &\quad \times \left\{ \frac{|\rho_d|^2 (1 - \sigma_e^2) \gamma_s s}{1 - [1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s s} \right\} \end{aligned} \quad (22)$$

where  $\psi_{\tilde{\lambda}_1(t-T_d)}(s)$  and  $\psi_{\tilde{\lambda}_1(t)}(s)$  denote the mgf of  $\tilde{\lambda}_1(t - T_d)$  and that of  $\tilde{\lambda}_1(t)$ , respectively. In (22), we have assumed that  $\tilde{\lambda}_1(t - T_d)$  and  $\tilde{\lambda}_1(t)$  have the same statistical property, due to the fact that the channel is ergodic.

Next, we consider the mgf of  $\tilde{\lambda}_1(t)$ . According to [6], the pdf of  $\tilde{\lambda}_1(t)$  is given by

$$f_{\tilde{\lambda}_1(t)}(x) = \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{i^{m+1} x^m \exp(-ix)}{m!} \quad (23)$$

where  $N_{tr} = \min\{N_t, N_r\}$ , and the coefficient  $d_{i,m}$  dependent on the transmit-receive antenna combinations can be expressed as [6]

$$d_{i,m} = \frac{\Gamma(i+1) C_{i,m}}{m^{i+1} \left( \prod_{l=1}^{N_{tr}} \Gamma(N_r - l + 1) \Gamma(N_t - l + 1) \right)} \quad (24)$$

where  $\Gamma(\cdot)$  is the Gamma function, and  $C_{i,m}$  is the coefficient term of  $x^i \exp(-mx)$ . Note that  $X$  is a central  $\chi_N^2$  RV with the variance of each freedom being  $\sigma^2$ , its pdf and mgf are, respectively, given by [20]

$$f_X(x) = \frac{1}{2\sigma^2} \frac{1}{(N/2 - 1)!} x^{N/2-1} \exp\left(-\frac{x}{2\sigma^2}\right), \quad (25)$$

$$\psi_X(s) = \frac{1}{(1 - 2\sigma^2 s)^{N/2}}. \quad (26)$$

For the pdf of  $\tilde{\lambda}_1(t)$  in (23), it can be viewed as a linear combination of pdfs with  $N = 2(m+1)$  and  $\sigma^2 = 1/2i$  given in (25) and weighted by  $d_{i,m}$ . Thus, the mgf of  $\tilde{\lambda}_1(t)$  can be written as

$$\psi_{\tilde{\lambda}_1(t)}(s) = \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \frac{d_{i,m}}{(1 - s/i)^{m+1}}. \quad (27)$$

Using (27) into (22), one can finally obtain the mgf of  $\gamma(t)$  as

$$\psi_{\gamma(t)}(s) = \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{(1-s/\alpha)^m}{(1-s/\beta)^{m+1}} \quad (28)$$

where

$$\alpha = \frac{1}{[1 - |\rho_d|^2 (1 - \sigma_e^2)] \gamma_s}, \quad (29)$$

$$\beta = \frac{1}{[1 - |\rho_d|^2 (1 - \sigma_e^2) (1 - \frac{1}{i})] \gamma_s}. \quad (30)$$

*Remark 3:* If only a single antenna is employed at the receiver and, simultaneously, the channel estimation error is ignored, we have  $N_{tr} = N_r = 1$ ,  $\sigma_e^2 = 0$ , and  $d_{1,N_t-1} = 1$ . Thus,  $\psi_{\gamma(t)}(s) = (((1-s/\alpha)^{N_t-1})/((1-s/\beta)^{N_t}))$  with  $\alpha = ((1)/((1-|\rho_d|^2)\gamma_s))$  and  $\beta = (1/\gamma_s)$ , which coincides with [11, eq. (9)], indicating that the work in [11] is a special case of our result.

### B. PDF of the Output SNR

By applying the inverse Laplace transform and the mgf expression of  $\gamma(t)$  in (28), the pdf of the output SNR can be obtained as

$$\begin{aligned} f_{\gamma(t)}(x) &= L^{-1}[\psi_{\gamma(t)}(s)] \\ &= \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} L^{-1} \left[ \frac{(1-s/\alpha)^m}{(1-s/\beta)^{m+1}} \right] \\ &= \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \sum_{n=0}^m d_{i,m} \frac{C_m^n}{(m-n)!} \frac{\beta^{m+1}}{\alpha^n} \\ &\quad \times (1-\beta/\alpha)^{m-n} x^{m-n} \exp(-\beta x) \end{aligned} \quad (31)$$

where  $L^{-1}[\cdot]$  denotes the inverse Laplace transform, which can be calculated with the help of the residue theorem as in [11].

*Remark 4:* For the MISO case as discussed in [11], we have  $N_{tr} = N_r = 1$ ,  $\sigma_e^2 = 0$ , and  $d_{1,N_t-1} = 1$ , and thus, (31) is simplified to

$$\begin{aligned} f_{\gamma(t)}(x) &= \sum_{n=0}^m \frac{C_{N_t-1}^n}{(N_t-n-1)!} \frac{\beta^{N_t}}{\alpha^n} (1-\beta/\alpha)^{N_t-n-1} \\ &\quad \times x^{N_t-n-1} \exp(-\beta x) \end{aligned} \quad (32)$$

which is the same as [11, eq. (13)].

### C. CDF of the Output SNR

The cdf of  $\gamma(t)$  can be calculated from the integral of (31), that is

$$\begin{aligned} F_{\gamma(t)}(x) &= \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \sum_{n=0}^m d_{i,m} \frac{C_m^n}{(m-n)!} \\ &\quad \times \frac{\beta^{m+1}}{\alpha^n} (1-\beta/\alpha)^{m-n} \int_0^x t^{m-n} \exp(-\beta t) dt. \end{aligned} \quad (33)$$

By applying the identity [21], i.e.,

$$\int_0^x t^m \exp(-\alpha t) dt = \frac{m!}{\alpha^{m+1}} \left[ 1 - \exp(-\alpha x) \sum_{k=0}^m \frac{(\alpha x)^k}{k!} \right] \quad (34)$$

the cdf of the output SNR in (33) can be calculated as

$$\begin{aligned} F_{\gamma(t)}(x) &= \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \sum_{n=0}^m C_m^n \left( \frac{\beta}{\alpha} \right)^n \left( 1 - \frac{\beta}{\alpha} \right)^{m-n} \\ &\quad \times \left[ 1 - \exp(-\beta x) \sum_{k=0}^{m-n} \frac{(\beta x)^k}{k!} \right] \\ &= \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} - \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \sum_{n=0}^m \sum_{k=0}^{m-n} d_{i,m} \\ &\quad \times C_m^n \left( \frac{\beta}{\alpha} \right)^n \left( 1 - \frac{\beta}{\alpha} \right)^{m-n} \frac{(\beta x)^k}{k!} \exp(-\beta x). \end{aligned} \quad (35)$$

With the help of the following equation [22]:

$$\sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} = 1 \quad (36)$$

the cdf of  $\gamma(t)$  can be further written in a simple closed-form expression as

$$\begin{aligned} F_{\gamma(t)}(x) &= 1 - \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \sum_{n=0}^m \sum_{k=0}^{m-n} d_{i,m} \\ &\quad \times C_m^n \left( \frac{\beta}{\alpha} \right)^n \left( 1 - \frac{\beta}{\alpha} \right)^{m-n} \frac{(\beta x)^k}{k!} \exp(-\beta x). \end{aligned} \quad (37)$$

*Remark 5:* It is noted that the expression of (37) is reduced to

$$\begin{aligned} F_{\gamma(t)}(x) &= 1 - \sum_{n=0}^{N_t-1} \sum_{k=0}^{N_t-n-1} C_{N_t-1}^n \left( \frac{\beta}{\alpha} \right)^n \\ &\quad \times \left( 1 - \frac{\beta}{\alpha} \right)^{N_t-n-1} \frac{(\beta x)^k}{k!} \exp(-\beta x) \end{aligned} \quad (38)$$

with  $N_{tr} = N_r = 1$ ,  $\sigma_e^2 = 0$ , and  $d_{1,N_t-1} = 1$  for the MISO scenario without channel estimation error. Interestingly, (38) coincides with [23, eq. (26)], which means that we have indeed extended the prior cdf results on MISO systems to the MIMO MRC case.

## IV. AVERAGE SYMBOL ERROR RATE

Here, we analyze the ASER of the MIMO MRC system with feedback delay and channel estimation error. In particular, we derive closed-form expressions of the exact ASER with various modulation formats. Furthermore, we develop a novel

approximate ASER at high SNR to investigate the array gain and diversity order of the system in the presence of various errors.

### A. Exact ASER

It is well known that the SER of a wireless system with various modulation formats over an AWGN channel is given by [20]

$$P_s(\gamma(t)|a, b) = aQ\left(\sqrt{2b\gamma(t)}\right) \quad (39)$$

where  $a$  and  $b$  are the parameters specified by the modulations. For example, for  $M$ -ary pulse amplitude modulation ( $M$ -PAM), we have  $a = 2(M-1)/M$  and  $b = 3/(M^2-1)$ ; a good approximation for  $M$ -ary phase-shift keying ( $M$ -PSK) is given by  $a = 2$  and  $b = \sin^2(\pi/M)$ ; and a tight upper bound for  $M$ -ary quadrature amplitude modulation ( $M$ -QAM) is specified by  $a = 4$  and  $b = 3/2(M-3)$ . Furthermore, the ASER over fading channels can be written as

$$\begin{aligned} P_s(a, b) &= E_{\gamma(t)} \{P_s(\gamma(t)|a, b)\} \\ &= \int_0^\infty aQ\left(\sqrt{2bz}\right) f_{\gamma(t)}(z) dz. \end{aligned} \quad (40)$$

Substituting (31) into (40) yields

$$\begin{aligned} P_s(a, b) &= a \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \sum_{n=0}^m d_{i,m} \frac{C_m^n}{(m-n)!} \frac{\beta^{m+1}}{\alpha^n} \\ &\times (1 - \beta/\alpha)^{m-n} \int_0^\infty z^{m-n} \exp(-\beta z) Q\left(\sqrt{2bz}\right) dz. \end{aligned} \quad (41)$$

By utilizing the following equality [21]:

$$\begin{aligned} \frac{a^m}{\Gamma(m)} \int_0^\infty \exp(-at) t^{m-1} Q\left(\sqrt{bt}\right) dt \\ = \frac{1}{2} \left[ 1 - \mu \sum_{k=0}^{m-1} C_{2k}^k \left( \frac{1-\mu^2}{4} \right)^k \right] \end{aligned} \quad (42)$$

where  $\mu = \sqrt{((b)/(2a+b))}$ , the ASER is thus given by

$$\begin{aligned} P_s(a, b) &= \frac{a}{2} \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} \sum_{n=0}^m d_{i,m} C_m^n \left( \frac{\beta}{\alpha} \right)^n \\ &\times \left( 1 - \frac{\beta}{\alpha} \right)^{m-n} \left[ 1 - \mu \sum_{k=0}^{m-n} C_{2k}^k \left( \frac{1-\mu^2}{4} \right)^k \right] \end{aligned} \quad (43)$$

where  $\mu = \sqrt{((b)/(\beta+b))}$ . Therefore, (43) generalizes the ASER expression in [6] with perfect CSI to the case of considering the channel estimation error and feedback delay.

### B. Approximate ASER at High SNR

According to [22], an alternative calculation of the ASER can be expressed as

$$P_s(a, b) = \frac{a}{2} \sqrt{\frac{b}{\pi}} \int_0^\infty \frac{\exp(-bu)}{\sqrt{u}} F_{\gamma(t)}(u) du. \quad (44)$$

To obtain an accurate approximation for (44), we first present the following theorem (see the Appendix for proof).

*Theorem 1:* The first-order expansion of the cdf of  $\gamma(t)$  given by (37) can be expressed as

$$\begin{aligned} F_{\gamma(t)}(x) &= \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{\beta^{m+1}}{\alpha^m} x \\ &+ \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{\beta^m}{\alpha^m} o(\beta x). \end{aligned} \quad (45)$$

Substituting (45) into (44), we have

$$\begin{aligned} P_s(a, b) &= \underbrace{\frac{a}{2} \sqrt{\frac{b}{\pi}} \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{\beta^{m+1}}{\alpha^m} \int_0^\infty \exp(-bu) \sqrt{u} du}_{I_1} \\ &+ \underbrace{\frac{a}{2} \sqrt{\frac{b}{\pi}} \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{\beta^m}{\alpha^m} \int_0^\infty \frac{\exp(-bu)}{\sqrt{u}} o(\beta u) du}_{I_2}. \end{aligned} \quad (46)$$

$I_1$  can be calculated with the integral formula [24, eq. (3.381.4)] as

$$\begin{aligned} I_1 &= \frac{a}{4b} \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \\ &\times \frac{[1 - |\rho_d|^2 (1 - \sigma_e^2)]^m}{[1 - |\rho_d|^2 (1 - \sigma_e^2) (1 - \frac{1}{i})]^{m+1}} \frac{1}{\gamma_s}. \end{aligned} \quad (47)$$

Meanwhile, the integral in  $I_2$  can be denoted with the help of [24, eq. (3.381.4)] as

$$\begin{aligned} \int_0^\infty \frac{\exp(-bu)}{\sqrt{u}} o(\beta u) du &= \sum_{i=2}^\infty k_i \beta^i \int_0^\infty u^{i-1/2} \exp(-bu) du \\ &= \sum_{i=2}^\infty k_i \frac{\Gamma(i+1/2)}{b^{i+1/2}} \beta^i \\ &= o(\beta). \end{aligned} \quad (48)$$

From (29), (30), and (48) and after some mathematical manipulations,  $I_2$  in (46) can be derived as

$$\begin{aligned} I_2 &= \frac{a}{2} \sqrt{\frac{b}{\pi}} \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{[1 - |\rho_d|^2 (1 - \sigma_e^2)]^m}{[1 - |\rho_d|^2 (1 - \sigma_e^2) (1 - \frac{1}{i})]^{m+1}} \\ &\times o\left(\frac{1}{1 - |\rho_d|^2 (1 - \sigma_e^2) (1 - \frac{1}{i})} \frac{1}{\gamma_s}\right). \end{aligned} \quad (49)$$

Noting that (49) can be regarded as a linear combination of  $o((1/\gamma_s))$ ,  $I_2$  can be written as  $I_2 = o((1/\gamma_s))$ . Therefore, with the expressions of  $I_1$  and  $I_2$ , the ASER can be expressed as

$$P_s(a, b) = (G_a \gamma_s)^{-G_d} + o(\gamma_s^{-G_d}) \quad (50)$$

where  $G_a$  and  $G_b$  represent the array gain and the diversity order of the MIMO MRC system with various errors, which are, respectively, given by

$$G_a = \frac{4b}{a \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{[1-|\rho_d|^2(1-\sigma_e^2)]^m}{[1-|\rho_d|^2(1-\sigma_e^2)(1-\frac{1}{i})]^{m+1}}} \quad (51)$$

$$G_d = 1. \quad (52)$$

It is to be noted that when SNR  $\gamma_s$  is very large, we have  $o(\gamma_s^{-G_d}) \approx 0$ . Thus, the ASER at high SNR can be approximated as

$$P_s(a, b) = (G_a \gamma_s)^{-G_d}. \quad (53)$$

It has already been proven in [25] that the diversity order of the MIMO MRC system is equal to  $N_t N_r$ , when perfect CSI is available at both sides. However, from (52), we can find that the diversity order reduces to 1 due to the existence of channel estimation error and feedback delay, regardless of the number of antennas employed. This scenario implies that the quality of CSI significantly affects the performance of the MIMO MRC system. The same conclusion has also been drawn in [11], where the diversity order of the transmit BF in the MISO system impaired by feedback delay is also reduced to 1, whereas the diversity order of the transmit BF with perfect CSI is  $N_t$ .

## V. COMPUTER SIMULATION

Here, we carry out computer simulations to validate the theoretical results obtained in previous sections and to investigate the system performance in various scenarios with different channel estimation errors, antenna configurations, and modulation formats. In our simulation, we apply Jake's fading to model the error, namely,  $\rho_d = J_0(2\pi f_m \tau)$ , with  $f_m \tau$  being the Doppler spread rate ratio and  $J_0(\cdot)$  being the zero-order Bessel function of the first kind, and set the transmit SNR as  $\gamma_s = 10\text{dB}$  in Figs. 2–7. In all of the plots, the label  $N_t \times N_r$  refers to the combination of antennas at the transmitter and the receiver.

First, we investigate the pdf of the proposed method by comparing with the previous works such as [14] and [17]. Supposing that the values of feedback delay and channel estimation error are chosen as  $f_m \tau = 0.1$  and  $\sigma_e^2 = 0.01$ , respectively, we consider the following three antenna combinations:  $2 \times 2$ ,  $4 \times 2$ , and  $4 \times 4$ , and plot the pdf curves of the output SNR in Fig. 2. Here, the results in [17] are obtained without taking the cochannel interference into account. As we expect, the pdf curves obtained from our method and in [17] shift to higher SNR values than those from [14], which attributes to the different treatment of the error term due to imperfect CSI.

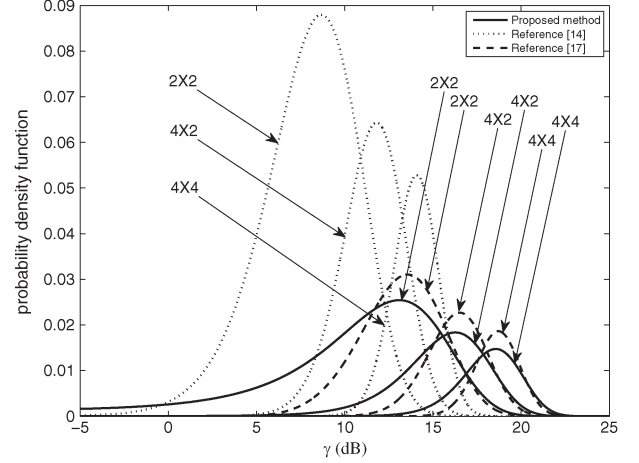


Fig. 2. PDF of the MIMO MRC system with different antenna combinations and various SNR expressions.

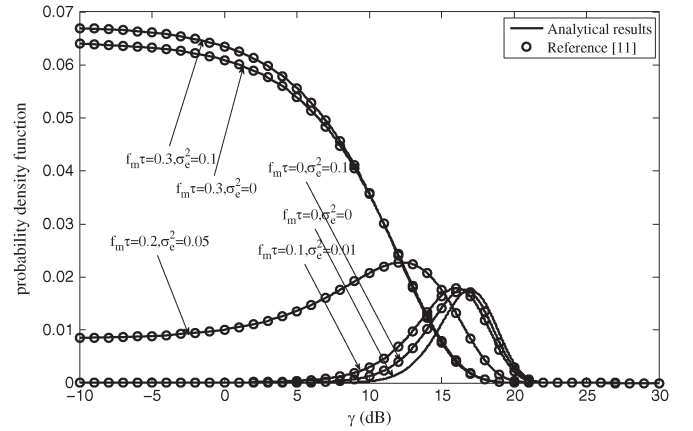


Fig. 3. PDF of the  $6 \times 1$  MIMO MRC system with various parameters.

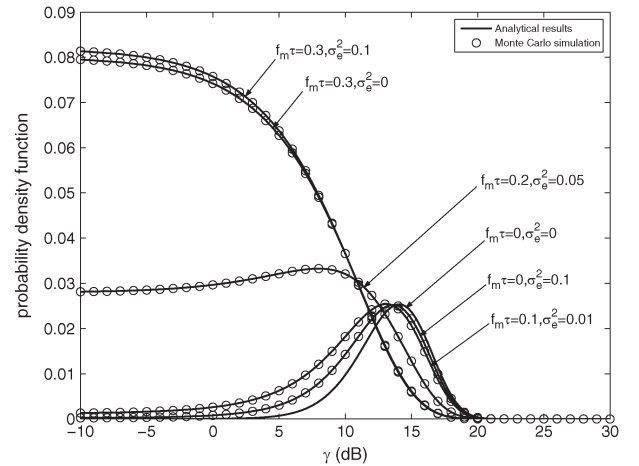


Fig. 4. PDF of the  $2 \times 2$  MIMO MRC system with various parameters.

Meanwhile, it can also be observed that due to the different treatment of the channel gain owing to the error term, the pdf peaks of our method are different from those in [17]. Furthermore, we find that a better performance can be obtained as the



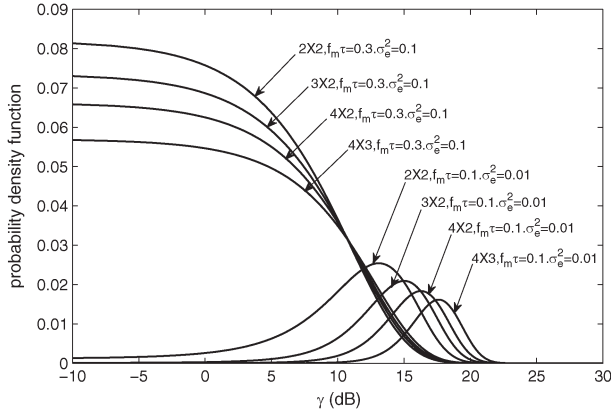


Fig. 5. PDF of the MIMO MRC system with different antenna combinations and various parameters.

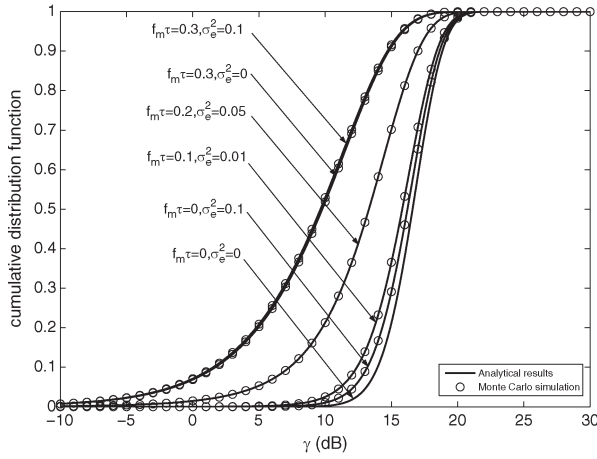


Fig. 6. CDF of the  $3 \times 2$  MIMO MRC system with various parameters.

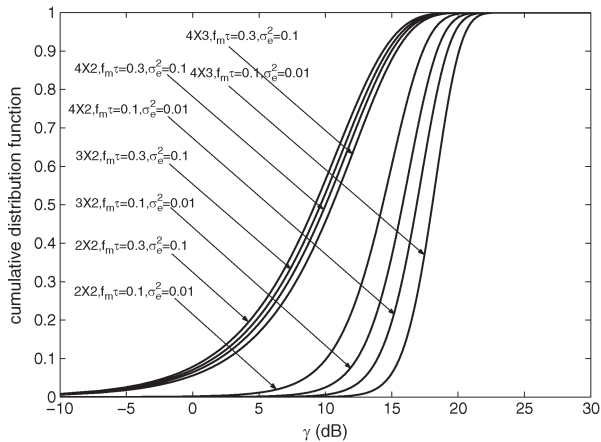


Fig. 7. CDF of the MIMO MRC system with different antenna combinations and various parameters.

number of antennas increases, which clearly demonstrates the advantage of employing multiple antennas.

Second, we study the impact of various errors on the pdf of the MIMO MRC system. The validity of the theoretical results of our paper is verified by the comparison between [11, (31) and (15)]. The pdf simulation results are given in Fig. 3,

and the antenna configuration is assumed to be  $6 \times 1$ . As we can see, the theoretical formulas in [11] match our analytical results perfectly for different values of  $f_m \tau$  and  $\sigma_e^2$ , which demonstrate that the pdf formula in [11] is a special case of our general result. Fig. 4 shows the theoretical and simulated pdfs of a  $2 \times 2$  system with various feedback delays and different channel estimation errors. It is seen that the pdf curves from the Monte Carlo experiments are highly consistent with those given by theoretical formulas regardless of different values of  $f_m \tau$  and  $\sigma_e^2$ . This implies that the derived exact expression in (31) can accurately evaluate the pdf of the output SNR. As for different parameters, four different cases are considered in our simulation: 1) MIMO MRC system without channel estimation error and feedback delay, i.e.,  $f_m \tau = 0, \sigma_e^2 = 0$ ; 2) only with feedback delay, i.e.,  $f_m \tau = 0.3, \sigma_e^2 = 0$ ; 3) only with channel estimation error, i.e.,  $f_m \tau = 0, \sigma_e^2 = 0.1$ ; and 4) with both feedback delay and channel estimation error. Here, case 4) is further divided into three scenarios: short feedback delay and small variance of channel estimation error, i.e.,  $f_m \tau = 0.1, \sigma_e^2 = 0.01$ ; moderate feedback delay and variance of channel estimation error, i.e.,  $f_m \tau = 0.2, \sigma_e^2 = 0.05$ ; and long feedback delay and large variance of channel estimation error, i.e.,  $f_m \tau = 0.3, \sigma_e^2 = 0.3$ . It is found that the perfect-CSI case corresponds to the best performance for its curve shifting toward the higher value of SNR. For fixed feedback delay, the performance becomes worse with the increase of channel estimation error. For fixed channel estimation error, the performance gets better with shorter feedback delay. In addition, Fig. 5 gives the pdf of the MIMO MRC system with different antenna combinations and various parameters. For the cases with the same parameters, the performance becomes better as the number of transmit antennas increases, such as from  $2 \times 2$  to  $3 \times 2$ , or the number of receive antennas increases, e.g.,  $4 \times 2$  versus  $4 \times 3$ . This is because more array gain is provided by increasing the antenna number. For the same antenna combination, it is obvious that the cases with short feedback delay and small variance of channel estimation error have better performance.

Third, we present the cdf of the output SNR in Fig. 6 for a  $3 \times 2$  antenna combination and in Fig. 7 for different antenna combinations, both with various parameters. We can see that the theoretical curves match very well with the Monte Carlo simulation results. It is known that for the same SNR, the performance upgrades with the smaller cdf value. Thus, a similar conclusion as in Fig. 4 can be drawn, namely, a better performance can be obtained with shorter feedback delay and smaller variance of channel estimation error. In Fig. 7, it is shown that a better performance is achieved by setting short feedback delay and small variance of channel estimation error for the same antenna combination, which coincides with the result in Fig. 5.

Finally, we examine the validity of the derived exact ASER expression and its approximation at high SNR. In Fig. 8, we plot the average SER with various parameters and modulation formats for a  $4 \times 2$  antenna combination. Two modulations, i.e., binary PSK (BPSK) and 4-PAM, are included in our simulation. Three cases, i.e.,  $f_m \tau = 0, \sigma_e^2 = 0$ ,  $f_m \tau = 0.1, \sigma_e^2 = 0.01$ , and  $f_m \tau = 0.3, \sigma_e^2 = 0.1$ , are considered. We can see a good match



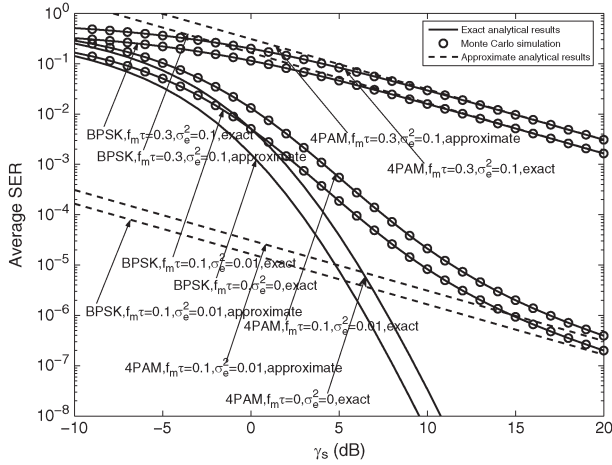


Fig. 8. Average SER of the  $4 \times 2$  MIMO MRC system with various parameters and modulations.

between the Monte Carlo simulations and the exact analytical results. Similarly, the approximate analytical results closely approach the exact results at high SNR for various parameters and modulation formats. Compared with the perfect-CSI case, the case with feedback delay and channel estimation error has a large performance loss as the diversity order has been reduced from  $N_t N_r$  to 1. It is obvious that the ASER of BPSK is better than that of 4-PAM for the same parameters. Moreover, the case with  $f_m \tau = 0.1$ ,  $\sigma_e^2 = 0.01$  has a better ASER than that with  $f_m \tau = 0.3$ ,  $\sigma_e^2 = 0.1$  due to a shorter feedback delay and smaller variance of channel estimation error. The average SER with various parameters and modulation formats for an  $8 \times 4$  antenna combination is also given in Fig. 9, where 8-PAM and 16-PSK are investigated for  $f_m \tau = 0.2$ ,  $\sigma_e^2 = 0.2$  and  $f_m \tau = 0.3$ ,  $\sigma_e^2 = 0.1$ . A good match between the Monte Carlo simulations and the exact analytical results as well as that the approximate analytical results closely approach the exact results at high SNR show the validity of our ASER formula and the asymptotic ASER results. In Fig. 10, we present the exact ASER and the approximate ASER at high SNR for different antenna combinations, where the parameters are set to be  $f_m \tau = 0.2$ ,  $\sigma_e^2 = 0.05$ , and the modulation format is BPSK. The approximate ASER approaches very closely the exact ASER at high SNRs for various antenna combinations, showing good robustness for our analytical results. Furthermore, although the antenna combinations with more transmit or receive antennas give a better performance, they have the same diversity order as shown by the respective curves that have the same slope at high SNRs.

## VI. CONCLUSION

In this paper, we have analyzed the MIMO MRC systems with feedback delay and channel estimation error. Closed-form expressions for the pdf and the cdf of the output SNR have been obtained. The exact and approximate average SERs of the system have also been derived. It is shown that the channel estimation error and the feedback delay have reduced the diversity order from  $N_t N_r$  to 1 and, thus, caused a significant performance loss. Computer simulations have confirmed the

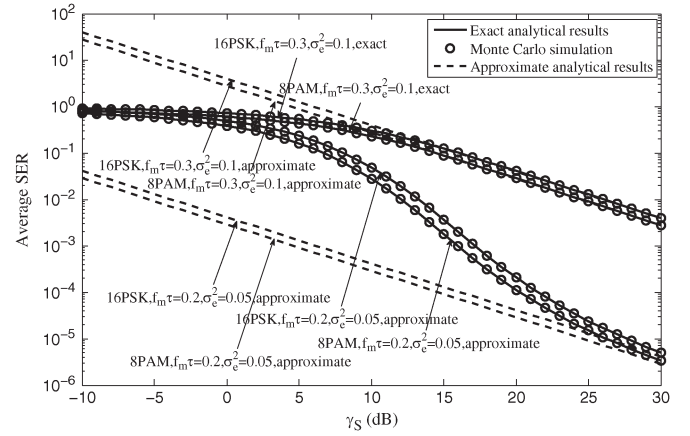


Fig. 9. Average SER of the  $8 \times 4$  MIMO MRC system with various parameters and modulations.

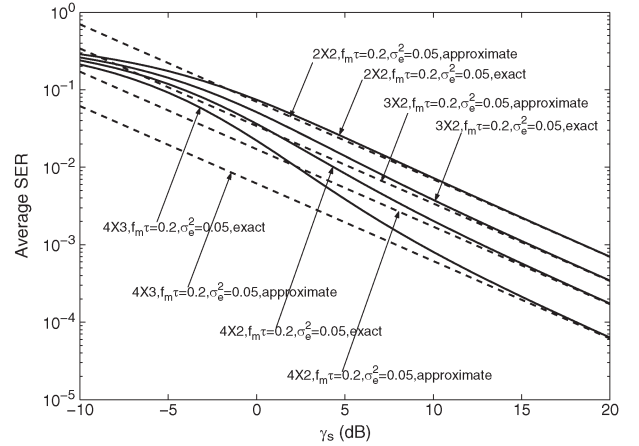


Fig. 10. Average SER of the MIMO MRC system with different antenna combinations and various parameters in the case of BPSK modulation.

validity of the performance analysis and shown the performance difference among the systems with different channel estimation errors, feedback delays, antenna configurations, and modulation formats.

## APPENDIX PROOF OF THEOREM 1

The first-order expansion of  $1 - \exp(-\eta x) \sum_{k=0}^m (\eta x)^k / k!$  can be expressed as

$$1 - \exp(-\eta x) \sum_{k=0}^m \frac{(\eta x)^k}{k!} = \frac{(\eta x)^{m+1}}{(m+1)!} + o((\eta x)^{m+1}). \quad (54)$$

Substituting (54) into the first line of (35), we have

$$F_{\gamma(t)}(x) = \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \sum_{n=0}^m C_m^n \left(\frac{\beta}{\alpha}\right)^n \times \left(1 - \frac{\beta}{\alpha}\right)^{m-n} \left[ \frac{(\beta x)^{m-n+1}}{(m-n+1)!} + o((\beta x)^{m-n+1}) \right]. \quad (55)$$

To derive the first-order expansion of  $F_{\gamma(t)}(x)$ , we need to find the first nonzero coefficient in the Maclaurin expansion. Letting  $n = m$ , one can obtain the first-order expansion of  $\sum_{n=0}^m C_m(\beta/\alpha)^n (1 - (\beta/\alpha))^{m-n} [((\beta x)^{m-n+1})/((m - n + 1)!)] + o((\beta x)^{m-n+1})$  as  $((\beta^{m+1})/(\alpha^m))x + (\beta^m/\alpha^m) o(\beta x)$ , and hence, the first-order expansion of the cdf is finally given by

$$F_{\gamma(t)}(x) = \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{\beta^{m+1}}{\alpha^m} x + \sum_{i=1}^{N_{tr}} \sum_{m=|N_t-N_r|}^{(N_t+N_r)i-2i^2} d_{i,m} \frac{\beta^m}{\alpha^m} o(\beta x). \quad (56)$$

## REFERENCES

- [1] A. Goldsmith, S. A. Jafar, N. Jindal, and S. Vishwanath, "Capacity limits of MIMO channels," *IEEE J. Sel. Areas Commun.*, vol. 21, no. 5, pp. 684–702, Jun. 2003.
- [2] C.-B. Chae, A. Forenza, R. W. Heath, Jr., M. R. McKay, and I. B. Collings, "Adaptive MIMO transmission techniques for broadband wireless communication systems," *IEEE Commun. Mag.*, vol. 48, no. 5, pp. 112–118, May. 2010.
- [3] A. Paulraj, R. Nabar, and D. Gore, *Introduction to Space-Time Wireless Communications*. Cambridge, U.K.: Cambridge Univ. Press, 2003.
- [4] T. K. Y. Lo, "Maximum ratio transmission," *IEEE Trans. Commun.*, vol. 47, no. 10, pp. 1458–1461, Oct. 1999.
- [5] A. J. Grant, "Performance analysis of transmit beamforming," *IEEE Trans. Commun.*, vol. 53, no. 4, pp. 738–744, Apr. 2005.
- [6] P. A. Dighe, R. K. Mallik, and S. S. Jamuar, "Analysis of transmit-receive diversity in Rayleigh fading," *IEEE Trans. Commun.*, vol. 51, no. 4, pp. 694–703, Apr. 2003.
- [7] A. Maaref and S. Aïssa, "Closed-form expressions for the outage and ergodic Shannon capacity of MIMO MRC systems," *IEEE Trans. Commun.*, vol. 56, no. 7, pp. 1092–1095, Jul. 2005.
- [8] M. McKay, A. Grant, and I. Collings, "Performance analysis of MIMO MRC in double-correlated Rayleigh environments," *IEEE Trans. Commun.*, vol. 55, no. 3, pp. 497–507, Mar. 2007.
- [9] A. Maaref and S. Aïssa, "Eigenvalue distributions of Wishart-type random matrices with application to the performance analysis of MIMO MRC systems," *IEEE Trans. Wireless Commun.*, vol. 6, no. 7, pp. 2678–2689, Jul. 2007.
- [10] M. Kang and M.-S. Alouini, "Largest eigenvalue of complex Wishart matrices and performance analysis of MIMO MRC systems," *IEEE J. Sel. Areas Commun.*, vol. 21, no. 3, pp. 418–426, Apr. 2003.
- [11] Y. Ma, D. Zhang, A. Leith, and Z. Wang, "Error performance of transmit beamforming with delayed and limited feedback," *IEEE Trans. Wireless Commun.*, vol. 8, no. 3, pp. 1164–1170, Mar. 2009.
- [12] Y. Isukapalli, R. Annavaajala, and B. D. Rao, "Performance analysis of transmit beamforming for MISO systems with imperfect feedback," *IEEE Trans. Commun.*, vol. 57, no. 1, pp. 222–231, Jan. 2009.
- [13] A. Firag, P. J. Smith, H. A. Suraweera, and A. Nallanathan, "Performance of beamforming in correlated MISO systems with estimation error and feedback delay," *IEEE Trans. Wireless Commun.*, vol. 10, no. 8, pp. 2592–2602, Aug. 2011.
- [14] Y. Chen and C. Tellambura, "Performance analysis of maximum ratio transmission with imperfect channel estimation," *IEEE Commun. Lett.*, vol. 9, no. 4, pp. 322–324, Apr. 2005.
- [15] K. S. Ahn, "Performance analysis of MIMO-MRC systems with channel estimation error in the presence of cochannel interferences," *IEEE Signal Process. Lett.*, vol. 15, pp. 445–448, May. 2008.
- [16] T. M. C. Chu, T. Q. Duong, and H.-J. Zepernick, "Performance analysis for multiple-input multiple-output-maximum ratio transmission systems with channel estimation error, feedback delay and co-channel interference," *IET Commun.*, vol. 7, no. 4, pp. 279–285, Apr. 2013.
- [17] E. K. S. Au, S. Jin, M. R. McKay, W. H. Mow, and X.-Q. Gao, "Analytical performance of MIMO-SVD systems in Ricean fading channels with channel estimation error and feedback delay," *IEEE Trans. Wireless Commun.*, vol. 7, no. 4, pp. 1315–1325, Apr. 2008.
- [18] T. Yoo and A. Goldsmith, "Capacity and power allocation for fading MIMO channels with channel estimation error," *IEEE Trans. Inform. Theory*, vol. 52, no. 5, pp. 2203–2214, May 2006.
- [19] S. Zhou and G. Giannakis, "Adaptive modulation for multiantenna transmissions with channel mean feedback," *IEEE Trans. Wireless Commun.*, vol. 3, no. 5, pp. 1626–1636, Sep. 2004.
- [20] J. G. Proakis, *Digital Communications*. New York, NY, USA: McGraw-Hill, 1995.
- [21] M. K. Simon and M.-S. Alouini, *Digital Communication over Fading Channels*. New York, NY, USA: Wiley, 2000.
- [22] M. Li, M. Lin, Q. Yu, W.-P. Zhu, and L. Dong, "Optimal beamformer design for dual-hop MIMO AF relay networks over Rayleigh fading channels," *IEEE J. Sel. Areas Commun.*, vol. 30, no. 8, pp. 1402–1414, Sep. 2012.
- [23] H. A. Suraweera, T. A. Tsiftsis, G. K. Karagiannidis, and A. Nallanathan, "Effect of feedback delay on amplify-and-forward relay networks with beamforming," *IEEE Trans. Veh. Technol.*, vol. 60, no. 3, pp. 1265–1271, Mar. 2011.
- [24] I. S. Gradshteyn, I. M. Ryzhik, and A. Jeffrey, *Table of Integrals, Series, and Products*, 6th ed. San Diego, CA, USA: Academic, 2000.
- [25] S. Jin, M. R. McKay, X.-Q. Gao, and I. B. Collings, "Asymptotic SER and outage probability of MIMO MRC in correlated fading," *IEEE Signal Process. Lett.*, vol. 14, no. 1, pp. 9–12, Jan. 2007.



Mr. Li received the Outstanding M.S. Degree Paper Award from the Jiangsu Province.



**Min Lin** (M'13) received the B.S. degree from the National University of Defense Technology, Changsa, China, in 1993; the M.S. degree from Nanjing Institute of Communication Engineering, Nanjing, China, in 2000; and the Ph.D. degree from Southeast University, Nanjing, in 2008, all in electrical engineering.

He is currently an Associate Professor with Southeast University and an Adjunct Professor with PLA University of Science and Technology, Nanjing. He is the author or coauthor of more than 80 papers and holds four patents. His current research interests include wireless communications and array signal processing.

Dr. Lin is a Senior Member of the Chinese Institute of Electronics.



**Wei-Ping Zhu** (SM'97) received the B.E. and M.E. degrees from Nanjing University of Posts and Telecommunications, Nanjing, China, in 1982 and 1985, respectively, and the Ph.D. degree from Southeast University, Nanjing, in 1991, all in electrical engineering.

He was a Postdoctoral Fellow from 1991 to 1992, and a Research Associate from 1996 to 1998, with the Department of Electrical and Computer Engineering, Concordia University, Montreal, QC, Canada. During 1993–1996, he was an Associate

Professor with the Department of Information Engineering, Nanjing University of Posts and Telecommunications. From 1998 to 2001, he was with hi-tech companies in Ottawa, ON, Canada, including Nortel Networks and SR Telecom Inc. Since July 2001, he has been with Concordia's Electrical and Computer Engineering Department as a full-time faculty member, where he is currently a Full Professor. His research interests include digital signal processing fundamentals, speech and audio processing, and signal processing for wireless communication with particular focus on multiple-input-multiple-output (MIMO) systems and cooperative relay networks.

Dr. Zhu was an Associate Editor of the IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS Part I: Fundamental Theory and Applications from 2001 to 2003 and an Associate Editor of *Circuits, Systems and Signal Processing* from 2006 to 2009. He was also a Guest Editor for the IEEE JOURNAL ON SELECTED AREAS IN COMMUNICATIONS for the Special Issues on Broadband Wireless Communications for High Speed Vehicles and Virtual MIMO during 2011–2013. Since 2011, he has served as an Associate Editor for the IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS PART II: EXPRESS BRIEFS. He was the Secretary of the Digital Signal Processing Technical Committee of the IEEE Circuits and Systems Society during 2012–2014, of which he is currently the Chair.



**Yongming Huang** (M'10) received the B.S. and M.S. degrees from Nanjing University, Nanjing, China, in 2000 and 2003, respectively, and the Ph.D. degree in electrical engineering from Southeast University, Nanjing, in 2007.

Since March 2007, he has been a faculty member with the School of Information Science and Engineering, Southeast University. During 2008–2009, he was visiting the Signal Processing Lab, Electrical Engineering, Royal Institute of Technology (KTH), Stockholm, Sweden. His current research interests

include space-time wireless communications, cooperative wireless communications, energy-efficient wireless communications, and optimization theory.

Dr. Huang serves as an Associate Editor for the IEEE TRANSACTIONS ON SIGNAL PROCESSING, the EURASIP *Journal on Advances in Signal Processing*, and the EURASIP *Journal on Wireless Communications and Networking*.



**Arumugam Nallanathan** (S'97–M'00–SM'05) is a Professor of wireless communications with the Department of Informatics, King's College London (University of London), London, U.K. During 2011–2012, he served as the Head of Graduate Studies with the School of Natural and Mathematical Sciences, King's College London. From August 2000 to December 2007, he was an Assistant Professor with the Department of Electrical and Computer Engineering, National University of Singapore, Singapore. He coauthored nearly 250 papers in his

areas of interest. His research interests include Fifth-Generation (5G) technologies, millimeter-wave communications, cognitive radio, and relay networks.

Mr. Nallanathan co-received the Best Paper Award at the 2007 IEEE International Conference on Ultra-Wideband (IEEE ICUWB). He is a Distinguished Lecturer of the IEEE Vehicular Technology Society. He is an Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS and the IEEE TRANSACTIONS ON VEHICULAR TECHNOLOGY. He was an Editor of the IEEE TRANSACTIONS ON WIRELESS COMMUNICATIONS during 2006–2011, the IEEE WIRELESS COMMUNICATIONS LETTERS, and the IEEE SIGNAL PROCESSING LETTERS. He served as the Chair for the Signal Processing and Communication Electronics Technical Committee of the IEEE Communications Society, a Technical Program Cochair (MAC Track) for the 2014 IEEE Wireless Communications and Networking Conference, a Cochair for the 2013 IEEE Global Communications Conference (IEEE GLOBECOM; Communications Theory Symposium), a Cochair for the 2012 IEEE International Conference on Communications (IEEE ICC; Signal Processing for Communications Symposium), a Cochair for the 2011 IEEE GLOBECOM (Signal Processing for Communications Symposium), a Technical Program Cochair for the 2011 IEEE ICUWB, a Cochair for the 2009 IEEE ICC (Wireless Communications Symposium), a Cochair for the 2008 IEEE GLOBECOM (Signal Processing for Communications Symposium), and a General Track Chair for the 2008 IEEE Vehicular Technology Conference. He received the IEEE Communications Society SPCE Outstanding Service Award in 2012 and the IEEE Communications Society RCC Outstanding Service Award in 2014.



**Quan Yu** received the B.S. degree in information physics from Nanjing University, Nanjing, China, in 1986; the M.S. degree in radio wave propagation from Xidian University, Xi'an, China, in 1988; and the Ph.D. degree in fiber optics from the University of Limoges, Limoges, France, in 1992.

Since 1992, he has been with the faculty of the Institute of China Electronic System Engineering Corporation as a Senior Engineer and is currently a Research Fellow. His main areas of research interest include the architecture of wireless networks, opti-

mization of protocols, and cognitive radios.

Dr. Yu is a member of the Chinese Academy of Engineering.