Indefiniteness of mathematical problems?

Michael Rathjen

Leverhulme Research Fellow

Algebra and Coalgebra meet Proof Theory ALCOP 2014

Queen Mary, University of London

15 May 2014

・ロト ・ 戸 ト ・ ヨ ト ・ ヨ ト ・ ヨ

Gödel's Extrinsic Program (1947)

"There might exist axioms so abundant in their verifiable consequences, shedding so much light upon a whole discipline...that quite irrespective of their intrinsic necessity they would have to be assumed in the same sense as any well-established physical theory."

<ロト < 得 > < き > < き > … き

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

Theorem (Levy and Solovay 1967): CH is consistent with and independent of all "small" and "large") LCAs that have been considered to date, provided they are consistent with ZF.

・ロト ・ 戸 ト ・ ヨ ト ・ ヨ ト ・ ヨ

Theorem (Levy and Solovay 1967): CH is consistent with and independent of all "small" and "large") LCAs that have been considered to date, provided they are consistent with ZF.

・ロト ・ 戸 ト ・ ヨ ト ・ ヨ ト ・ ヨ

Proof. By **Cohen**'s method of forcing.

Theorem (Levy and Solovay 1967): CH is consistent with and independent of all "small" and "large") LCAs that have been considered to date, provided they are consistent with ZF.

Proof. By **Cohen**'s method of forcing.

It is consistent for the continuum to be anything not cofinal with ω . This is necessary as by Julius König's Theorem $cf(2^{\aleph_0}) > \aleph_0$.

イロト 不良 とくほ とくほう 二日

Conceptions of Sets

(日) (四) (三) (三) (三) (1000)

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

Conceptions of Sets

Sets are supposed to be **definite totalities**, determined solely by which objects are in the membership relation \in to them, and independently of how they may be defined, if at all.

・ロト ・ 戸 ト ・ ヨ ト ・ ヨ ト ・ ヨ

Conceptions of Sets

Sets are supposed to be **definite totalities**, determined solely by which objects are in the membership relation \in to them, and independently of how they may be defined, if at all.

A is a **definite totality** iff the logical operation of quantifying over *A*, $\forall x \in A P(x)$, has a determinate truth value for each **definite property** P(x) of elements of *A*.

・ロト ・ 戸 ト ・ ヨ ト ・ ヨ ト ・ ヨ

The Structure of all Sets

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

The Structure of all Sets

V, where V is the universe of all sets, **is not a definite totality**, so unbounded quantification over V is not justified on this conception. Indeed, it is essentially indefinite.

イロト イポト イヨト イヨト 三日

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

Ich setze voraus, dass man wisse, was der Umfang eines Begriffes sei.

I assume that it is known what the extension of a concept is.

・ ロ ト ・ 雪 ト ・ 目 ト ・ 日 ト

Frege: Die Grundlagen der Arithmetik (Breslau 1884) § 68.

Ich setze voraus, dass man wisse, was der Umfang eines Begriffes sei.

I assume that it is known what the extension of a concept is.

Frege: Die Grundlagen der Arithmetik (Breslau 1884) § 68.

In **Frege: Philosophy of Mathematics**, **Dummett**'s diagnosis of the failure of Frege's logicist project focusses on the adoption of classical quantification. He rejects it in favor of the intuitionistic interpretation of quantification over the relevant domains.

イロト 不良 とくほ とくほう 二日

Ich setze voraus, dass man wisse, was der Umfang eines Begriffes sei.

I assume that it is known what the extension of a concept is.

Frege: Die Grundlagen der Arithmetik (Breslau 1884) § 68.

In **Frege: Philosophy of Mathematics**, **Dummett**'s diagnosis of the failure of Frege's logicist project focusses on the adoption of classical quantification. He rejects it in favor of the intuitionistic interpretation of quantification over the relevant domains.

Dummett argues that classical quantification is illegitimate when the domain is given as the objects which fall under an indefinitely extensible concept.

The Continuum Hypothesis

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

The Continuum Hypothesis

イロト 不得 トイヨト イヨト 二日

Exploring the frontiers of incompleteness.

Peter Koellner's Templeton project.

The Continuum Hypothesis

Exploring the frontiers of incompleteness.

Peter Koellner's Templeton project.

Solomon Feferman: Is the continuum hypothesis a definite mathematical problem?

・ ロ ト ・ 雪 ト ・ 目 ト ・ 日 ト

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

•
$$\mathcal{P}(A) = \{X \mid X \subseteq A\}$$

<ロ>

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

- $\mathcal{P}(A) = \{X \mid X \subseteq A\}$
- Let A be a set. P(A) may be considered to be an indefinite collection whose members are subsets of A, but whose exact extent is indeterminate (open-ended).

- $\mathcal{P}(A) = \{X \mid X \subseteq A\}$
- Let A be a set. P(A) may be considered to be an indefinite collection whose members are subsets of A, but whose exact extent is indeterminate (open-ended).
- Proposed logical framework for what's definite and what's not:

What's definite is the domain of classical logic, what's not is that of intuitionistic logic.

・ロット (雪) (日) (日) (日)

- $\mathcal{P}(A) = \{X \mid X \subseteq A\}$
- Let A be a set. P(A) may be considered to be an indefinite collection whose members are subsets of A, but whose exact extent is indeterminate (open-ended).
- Proposed logical framework for what's definite and what's not:

What's definite is the domain of classical logic, what's not is that of intuitionistic logic.

Classical logic for bounded (Δ₀) formulas.
 Intuitionistic logic for unbounded quantification.



INDEFINITENESS OF MATHEMATICAL PROBLEMS?

• Feferman: On the strength of some semi-constructive theories (2012)

- Feferman: On the strength of some semi-constructive theories (2012)
- $T := IKP + LEM_{\Delta_0} + BOS + AC_{full} + MP + \mathbb{R}$ is a set.

- Feferman: On the strength of some semi-constructive theories (2012)
- $\mathbf{T} := \mathbf{IKP} + \mathrm{LEM}_{\Delta_0} + \mathrm{BOS} + \mathrm{AC}_{\textit{full}} + \mathrm{MP} + \mathbb{R}$ is a set.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

• LEM_{Δ_0} is the schema $\varphi \lor \neg \varphi$ for $\varphi \Delta_0$.

- Feferman: On the strength of some semi-constructive theories (2012)
- $\mathbf{T} := \mathbf{IKP} + \mathrm{LEM}_{\Delta_0} + \mathrm{BOS} + \mathrm{AC}_{\textit{full}} + \mathrm{MP} + \mathbb{R}$ is a set.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- LEM_{Δ0} is the schema φ ∨ ¬φ for φ Δ0.
- BOS is the schema (for all formulas φ(x)): If ∀x ∈ a[φ(x) ∨ ¬φ(x)] then ∀x ∈ aφ(x) ∨ ∃x ∈ a¬φ(x).

- Feferman: On the strength of some semi-constructive theories (2012)
- $\mathbf{T} := \mathbf{IKP} + \mathrm{LEM}_{\Delta_0} + \mathrm{BOS} + \mathrm{AC}_{\textit{full}} + \mathrm{MP} + \mathbb{R}$ is a set.
- LEM_{Δ0} is the schema φ ∨ ¬φ for φ Δ0.
- BOS is the schema (for all formulas φ(x)): If ∀x ∈ a[φ(x) ∨ ¬φ(x)] then ∀x ∈ aφ(x) ∨ ∃x ∈ a¬φ(x).
- AC_{*full*} is the schema (for all formulas $\psi(x, y)$): $\forall x \in a \exists y \ \psi(x, y) \rightarrow \exists f [dom(f) = a \land \forall x \in a \ \varphi(x, f(x))]$

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- Feferman: On the strength of some semi-constructive theories (2012)
- $T := IKP + LEM_{\Delta_0} + BOS + AC_{full} + MP + \mathbb{R}$ is a set.
- LEM_{Δ0} is the schema φ ∨ ¬φ for φ Δ0.
- BOS is the schema (for all formulas φ(x)): If ∀x ∈ a[φ(x) ∨ ¬φ(x)] then ∀x ∈ aφ(x) ∨ ∃x ∈ a¬φ(x).
- AC_{full} is the schema (for all formulas $\psi(x, y)$):

 $\forall x \in a \exists y \, \psi(x, y) \to \exists f \, [\operatorname{dom}(f) = a \land \forall x \in a \, \varphi(x, f(x))]$

MP is the schema

$$\neg \neg \exists x \, \theta(x) \to \exists x \, \theta(x)$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

for $\theta(x) \Delta_0$.

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

◆□▶ ◆□▶ ◆三▶ ◆三▶ ・三 のへの

The formal version of the conjecture is that

 $\mathbf{T} \not\vdash CH \lor \neg CH$

◆□▶ ◆□▶ ◆三▶ ◆三▶ ・三 のへの

The formal version of the conjecture is that

$$\mathbf{T} \not\vdash CH \lor \neg CH$$

 The theory T has too many axioms. Let T⁻ be T without BOS and LEM_{Δ0}; then

(*)
$$\mathbf{T}^- \vdash BOS + LEM_{\Delta_0}$$

イロト 不良 とくほ とくほう 二日

The formal version of the conjecture is that

 $\mathbf{T} \not\vdash CH \lor \neg CH$

 The theory T has too many axioms. Let T⁻ be T without BOS and LEM_{Δ0}; then

(*) $\mathbf{T}^- \vdash BOS + LEM_{\Delta_0}$

▲□▶▲□▶▲□▶▲□▶ □ のQ@

• (*) follows from the observation that AC_{full} implies LEM_{Δ_0} (Diaconescu) and also BOS.

The formal version of the conjecture is that

$$\mathbf{T} \not\vdash CH \lor \neg CH$$

 The theory T has too many axioms. Let T⁻ be T without BOS and LEM_{Δ0}; then

(*)
$$\mathbf{T}^- \vdash BOS + LEM_{\Delta_0}$$

イロト 不良 とくほ とくほう 二日

- (*) follows from the observation that AC_{full} implies LEM_{Δ_0} (Diaconescu) and also BOS.
- Note that T proves full Replacement and Strong Collection (considered by Tharp, Beeson, Aczel).

The formal version of the conjecture is that

$$\mathbf{T} \not\vdash CH \lor \neg CH$$

 The theory T has too many axioms. Let T⁻ be T without BOS and LEM_{Δ0}; then

(*)
$$\mathbf{T}^- \vdash BOS + LEM_{\Delta_0}$$

- (*) follows from the observation that AC_{full} implies LEM_{Δ_0} (Diaconescu) and also BOS.
- Note that T proves full Replacement and Strong Collection (considered by Tharp, Beeson, Aczel).
- T is quite strong. It proves every theorem of (classical) second order arithmetic. In strength it resides strictly between second order arithmetic and Zermelo set theory.

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

イロン 不得 とくほ とくほ とうほ

• Does T satisfy some kind of disjunction property?

イロン 不得 とくほ とくほ とうほ

- Does T satisfy some kind of disjunction property?
- Realizability?

- Does T satisfy some kind of disjunction property?
- Realizability?
- What should the realizers be?

- Does T satisfy some kind of disjunction property?
- Realizability?
- What should the realizers be?
- What kind of realizability?

- Does T satisfy some kind of disjunction property?
- Realizability?
- What should the realizers be?
- What kind of realizability?
- What should the universe for realizability be?

• There are two versions: For a set A we have L(A) and L[A].

• There are two versions: For a set A we have L(A) and L[A].

イロト 不得 トイヨト イヨト 二日

They can be vastly different. E.g. in general *L*(*A*) ⊭ AC whereas always *L*[*A*] ⊨ AC.

• There are two versions: For a set A we have L(A) and L[A].

▲□▶▲□▶▲□▶▲□▶ □ のQ@

- They can be vastly different. E.g. in general *L*(*A*) ⊭ AC whereas always *L*[*A*] ⊨ AC.
- If $\mathbb{R} \notin L$ then $L \neq L(\mathbb{R})$. However, always $L[\mathbb{R}] = L$.

• There are two versions: For a set A we have L(A) and L[A].

▲□▶▲□▶▲□▶▲□▶ □ のQ@

- They can be vastly different. E.g. in general *L*(*A*) ⊭ AC whereas always *L*[*A*] ⊨ AC.
- If $\mathbb{R} \notin L$ then $L \neq L(\mathbb{R})$. However, always $L[\mathbb{R}] = L$.
- Only *L*[*A*] is interesting for our purposes.

•
$$L_0[A] = \emptyset$$

(日)

• $L_0[A] = \emptyset$ $L_{\alpha+1}[A] = \text{Def}^A(L_{\alpha}[A])$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

•
$$L_0[A] = \emptyset$$

 $L_{\alpha+1}[A] = \text{Def}^A(L_{\alpha}[A])$
 $L_{\lambda} = \bigcup_{\xi < \lambda} L_{\xi}[A].$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

•
$$L_0[A] = \emptyset$$

 $L_{\alpha+1}[A] = \text{Def}^A(L_{\alpha}[A])$
 $L_{\lambda} = \bigcup_{\xi < \lambda} L_{\xi}[A].$
 $L[A] = \bigcup_{\alpha} L_{\alpha}[A].$



▲□▶▲@▶▲≧▶▲≧▶ ≧ め�@

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

•
$$\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$$

▲□▶▲圖▶▲≣▶▲≣▶ ≣ のQ@

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$
- $L_{\alpha}[A]$ is transitive.

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$
- $L_{\alpha}[A]$ is transitive.

•
$$L[A] \cap \alpha = L_{\alpha}[A] \cap \alpha = \alpha$$
.

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$
- $L_{\alpha}[A]$ is transitive.
- $L[A] \cap \alpha = L_{\alpha}[A] \cap \alpha = \alpha$.
- For $\alpha \geq \omega$, $|L_{\alpha}[A]| = |\alpha|$.

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$
- $L_{\alpha}[A]$ is transitive.
- $L[A] \cap \alpha = L_{\alpha}[A] \cap \alpha = \alpha$.
- For $\alpha \ge \omega$, $|L_{\alpha}[A]| = |\alpha|$.
- $L[A] \models \mathbf{ZF}$.

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$
- $L_{\alpha}[A]$ is transitive.
- $L[A] \cap \alpha = L_{\alpha}[A] \cap \alpha = \alpha.$
- For $\alpha \ge \omega$, $|L_{\alpha}[A]| = |\alpha|$.
- $L[A] \models \mathbf{ZF}$.
- $\nu \mapsto L_{\nu}[A]$ is uniformly $\Delta_1^{L_{\alpha}[A]}$ for limits $\nu > \omega$.

▲□▶▲□▶▲□▶▲□▶ □ のQ@

- $\alpha \leq \beta \Rightarrow L_{\alpha}[A] \subseteq L_{\beta}[A].$
- $\alpha < \beta \Rightarrow L_{\alpha}[A] \in L_{\beta}[A].$
- $L_{\alpha}[A]$ is transitive.
- $L[A] \cap \alpha = L_{\alpha}[A] \cap \alpha = \alpha.$
- For $\alpha \ge \omega$, $|L_{\alpha}[A]| = |\alpha|$.
- $L[A] \models \mathbf{ZF}$.
- $\nu \mapsto L_{\nu}[A]$ is uniformly $\Delta_1^{L_{\alpha}[A]}$ for limits $\nu > \omega$.
- $B = A \cap L[A] \Rightarrow L[A] = L[B] \land (V = L[B])^{L[A]}$.

(日) (四) (三) (三) (三) (1000)

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

• There is a Σ_1 formula wo(x, y, z) such that

KP \vdash "{ $\langle x, y \rangle \mid wo(x, y, a)$ } is a wellordering of *L*[*a*]"

and if $<_{L[A]}$ denotes the wellordering of L[A] determined by wo, then for any limit $\lambda > \omega$,

$$<_{L[A]} \cap L[A] \times L[A]$$
 is $\Sigma_1^{L_\lambda[A]}$

▲□▶▲□▶▲□▶▲□▶ □ のQ@

• There is a Σ_1 formula wo(x, y, z) such that

KP \vdash "{ $\langle x, y \rangle \mid wo(x, y, a)$ } is a wellordering of *L*[*a*]"

and if $<_{L[A]}$ denotes the wellordering of L[A] determined by wo, then for any limit $\lambda > \omega$,

$$<_{L[A]} \cap L[A] \times L[A]$$
 is $\Sigma_1^{L_\lambda[A]}$.

▲□▶▲□▶▲□▶▲□▶ □ のQ@

• L[A] is model of AC.

• There is a Σ_1 formula wo(x, y, z) such that

KP \vdash "{ $\langle x, y \rangle \mid wo(x, y, a)$ } is a wellordering of *L*[*a*]"

and if $<_{L[A]}$ denotes the wellordering of L[A] determined by wo, then for any limit $\lambda > \omega$,

$$<_{L[A]} \cap L[A] imes L[A]$$
 is $\Sigma_1^{L_\lambda[A]}$.

▲□▶▲□▶▲□▶▲□▶ □ のQ@

- L[A] is model of AC.
- (*) $\lambda > \omega$ limit $\wedge B = A \cap L_{\lambda}[A] \Rightarrow L_{\lambda}[A] = L_{\lambda}[B].$

・ロト・日本・日本・日本・日本・日本

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

 For realizers we use codes of Σ₁ partial functions, i.e. Σ₁ definable (with parameters) in the structure ⟨*L*[*A*], ∈, *A*⟩.

- For realizers we use codes of Σ₁ partial functions, i.e. Σ₁ definable (with parameters) in the structure ⟨*L*[*A*], ∈, *A*⟩.
- If *e* is such a code and a_1, \ldots, a_n are sets in L[A], we use

 $[e]^{L[A]}(a_1,...,a_n)$

for the result of applying the partial function with code e to \vec{a} (if it exists).

- For realizers we use codes of Σ₁ partial functions, i.e. Σ₁ definable (with parameters) in the structure ⟨*L*[*A*], ∈, *A*⟩.
- If *e* is such a code and a_1, \ldots, a_n are sets in L[A], we use

 $[e]^{L[A]}(a_1,...,a_n)$

for the result of applying the partial function with code e to \vec{a} (if it exists).

In this way the structures ⟨*L*[*A*], ∈, *A*⟩ give rise to partial combinatory algebras (pca's) or models of App.

Realizability over $\langle L[A], \in, A \rangle$

▲□▶ ▲□▶ ▲三▶ ▲三▶ 三三 のへで

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

Realizability over $\langle L[A], \in, A \rangle$

$$e \Vdash a \in b$$
 iff $a \in b$

 $e \Vdash a = b$ iff a = b

$$e \Vdash \varphi \land \psi$$
 iff $(e)_0 \Vdash \varphi$ and $(e)_1 \Vdash \psi$

$$e \Vdash \varphi \lor \psi$$
 iff $[(e)_0 = 0 \land (e)_1 \Vdash \varphi]$ or $[(e)_0 = 1 \land (e)_1 \Vdash \psi]$

- $e \Vdash \varphi \to \psi$ iff $\forall d [d \Vdash \varphi \Rightarrow [e]^{L[A]}(d) \Vdash \psi]$
- $e \Vdash \exists x \theta(x)$ iff $(e)_1 \Vdash \theta((e)_0)$
- $e \Vdash \forall x \theta(x)$ iff $\forall a \in L[A] [e]^{L[A]}(a) \Vdash \theta(a)$.

Realizability over $\langle L[A], \in, A \rangle$

$$\begin{array}{lll} e \Vdash a \in b & \text{iff} & a \in b \\ e \Vdash a = b & \text{iff} & a = b \\ e \Vdash \varphi \land \psi & \text{iff} & (e)_0 \Vdash \varphi \text{ and } (e)_1 \Vdash \psi \\ e \Vdash \varphi \lor \psi & \text{iff} & [(e)_0 = 0 \land (e)_1 \Vdash \varphi] \text{ or } [(e)_0 = 1 \land (e)_1 \Vdash \psi] \\ e \Vdash \varphi \to \psi & \text{iff} & \forall d [d \Vdash \varphi \Rightarrow [e]^{L[A]}(d) \Vdash \psi] \\ e \Vdash \exists x \theta(x) & \text{iff} & (e)_1 \Vdash \theta((e)_0) \\ e \Vdash \forall x \theta(x) & \text{iff} & \forall a \in L[A] [e]^{L[A]}(a) \Vdash \theta(a). \end{array}$$

Above, for a set-theoretic pair $b = \langle u, v \rangle$, we used the notations $(b)_0 = u$ and $(b)_1 = v$. If *b* is not a pair let $(b)_0 = (b)_1 = 0$.

Lemma. If θ is Δ_0 with parameters from L[A], then $\theta \Leftrightarrow \exists e \Vdash \theta$.

Lemma. If θ is Δ_0 with parameters from L[A], then

 $\theta \Leftrightarrow \exists \boldsymbol{e} \Vdash \theta.$

Theorem. **T** $\vdash \theta \Rightarrow \exists e e \Vdash \theta$.

Lemma. If θ is Δ_0 with parameters from L[A], then

 $\theta \Leftrightarrow \exists \boldsymbol{e} \Vdash \theta.$

Theorem. **T** $\vdash \theta \Rightarrow \exists e e \Vdash \theta$.

Theorem 1. We need a more useful result that exhibits the underlying uniformity. If \mathcal{D} is a **T**-derivation of a formula $\psi(x_1, \ldots, x_n)$, one explicitly constructs a hereditarily finite set $e_{\mathcal{D}}$ such that for all A and all $a_1, \ldots, a_n \in L[A]$,

 $[\boldsymbol{e}_{\mathcal{D}}]^{\boldsymbol{L}[\boldsymbol{A}]}(\boldsymbol{a}_1,\ldots,\boldsymbol{a}_n,\mathbb{R}^{\boldsymbol{L}[\boldsymbol{A}]})\Vdash\psi(\boldsymbol{a}_1,\ldots,\boldsymbol{a}_n).$

(日)

Lemma. If θ is Δ_0 with parameters from L[A], then

 $\theta \Leftrightarrow \exists \boldsymbol{e} \Vdash \theta.$

Theorem. **T** $\vdash \theta \Rightarrow \exists e e \Vdash \theta$.

Theorem 1. We need a more useful result that exhibits the underlying uniformity. If \mathcal{D} is a **T**-derivation of a formula $\psi(x_1, \ldots, x_n)$, one explicitly constructs a hereditarily finite set $e_{\mathcal{D}}$ such that for all A and all $a_1, \ldots, a_n \in L[A]$,

 $[\boldsymbol{e}_{\mathcal{D}}]^{\boldsymbol{L}[\boldsymbol{A}]}(\boldsymbol{a}_1,\ldots,\boldsymbol{a}_n,\mathbb{R}^{\boldsymbol{L}[\boldsymbol{A}]})\Vdash\psi(\boldsymbol{a}_1,\ldots,\boldsymbol{a}_n).$

Another way of expressing the uniformity and effectiveness of e_D is obtained by viewing $\langle L[A], \in, A \rangle$ as an applicative structure. According to this view, e_D is given by an applicative term *t* of the theory **App** such that $t \downarrow$ in L[A], i.e.

$$L[A] \models \exists e [t \simeq e \land e \Vdash \psi].$$



▲□▶▲圖▶▲≣▶▲≣▶ ≣ のQ@

INDEFINITENESS OF MATHEMATICAL PROBLEMS?



• Not just any A.

Designing L[A]

- Not just any A.
- Start with a universe V₀ such that

$$V_0 \models \mathsf{ZFC} + 2^{\aleph_0} = \aleph_2.$$

▲□▶▲□▶▲□▶▲□▶ □ のQ@

Can be obtained from any universe V' such that $V' \models \mathbf{ZFC} + \operatorname{GCH}$ (e.g. L) by forcing with $\operatorname{Fn}(\kappa \times \omega, 2)$ where $\kappa = (\aleph_2)^{V'}$.

Designing L[A]

- Not just any A.
- Start with a universe V₀ such that

$$V_0 \models \mathsf{ZFC} + 2^{\aleph_0} = \aleph_2.$$

Can be obtained from any universe V' such that $V' \models \mathbf{ZFC} + \operatorname{GCH}$ (e.g. L) by forcing with $\operatorname{Fn}(\kappa \times \omega, 2)$ where $\kappa = (\aleph_2)^{V'}$.

 We now code the set of reals ℝ via a set A of ordinals in such a way that the set of real numbers of V₀ belong to L[A]. We thus have

$$\mathbb{R}^{V_0} = \mathbb{R}^{L[A]} \in L[A].$$

イロン 不得 とくほ とくほ とうほ

The latter is possible as $V_0 \models AC$ (plus some trickery).

Designing L[A]

- Not just any A.
- Start with a universe V₀ such that

$$V_0 \models \mathsf{ZFC} + 2^{\aleph_0} = \aleph_2.$$

Can be obtained from any universe V' such that $V' \models \mathbf{ZFC} + \operatorname{GCH}$ (e.g. L) by forcing with $\operatorname{Fn}(\kappa \times \omega, 2)$ where $\kappa = (\aleph_2)^{V'}$.

We now code the set of reals ℝ via a set A of ordinals in such a way that the set of real numbers of V₀ belong to L[A]. We thus have

$$\mathbb{R}^{V_0} = \mathbb{R}^{L[A]} \in L[A].$$

The latter is possible as $V_0 \models AC$ (plus some trickery).

• Clearly,

$$L[A] \models \neg CH. \quad \text{ for a first set of } for a first set of a firs$$

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

• $CH := \forall x \subseteq \mathbb{R} [\exists f f : \omega \twoheadrightarrow x \lor \exists f f : x \twoheadrightarrow \mathbb{R}].$

- $CH := \forall x \subseteq \mathbb{R} [\exists f f : \omega \twoheadrightarrow x \lor \exists f f : x \twoheadrightarrow \mathbb{R}].$
- Assume $\mathbf{T} \vdash CH \lor \neg CH$.

- $CH := \forall x \subseteq \mathbb{R} [\exists f f : \omega \twoheadrightarrow x \lor \exists f f : x \twoheadrightarrow \mathbb{R}].$
- Assume $\mathbf{T} \vdash CH \lor \neg CH$.
- By Theorem 1 there exists an *e* ∈ HF (which does not depend on *A*) such that

 $[e]^{L[A]}(\mathbb{R}^{L[A]}) \Vdash CH \lor \neg CH.$

▲□▶▲□▶▲□▶▲□▶ □ のQ@

- $CH := \forall x \subseteq \mathbb{R} [\exists f f : \omega \twoheadrightarrow x \lor \exists f f : x \twoheadrightarrow \mathbb{R}].$
- Assume $\mathbf{T} \vdash CH \lor \neg CH$.
- By Theorem 1 there exists an *e* ∈ HF (which does not depend on *A*) such that

$$[e]^{L[A]}(\mathbb{R}^{L[A]}) \Vdash CH \lor \neg CH.$$

• Since $L[A] \models \neg CH$ we must have for $d := [e]^{L[A]}(\mathbb{R}^{L[A]})$ that

$$(d)_0 = 1 \land L[A] \models \forall b \ b \not\models CH.$$

Since the statement "[*e*]^{*L*[*A*]}(ℝ^{*L*[*A*]}) ≃ *d*" is Σ^{*L*[*A*]}, there exists a π such that

$$d, A, \mathbb{R}^{L[A]} \in L_{\pi}[A] \land L_{\pi}[A] \models [e]^{L_{\pi}[A]}(\mathbb{R}^{L[A]}) \simeq d.$$

▲□▶▲□▶▲□▶▲□▶ □ のQ@

◆□ > ◆□ > ◆臣 > ◆臣 > ● 臣 = のへの

INDEFINITENESS OF MATHEMATICAL PROBLEMS?

• Take a forcing extensions V_1 of V_0 such that

and
$$egin{array}{ll} V_1 \models 2^{\aleph_0} = leph_1 \ \mathbb{R}^{V_0} = \mathbb{R}^{V_1} \wedge (leph_1)^{V_0} = (leph_1)^{V_1}. \end{array}$$

• Take a forcing extensions V_1 of V_0 such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

• Force with $(\operatorname{Fn}(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.

• Take a forcing extensions V_1 of V_0 such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(Fn(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.

イロト 不得 トイヨト イヨト 二日

• Take a forcing extensions V_1 of V_0 such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(Fn(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.

イロン 不得 とくほ とくほ とうほ

• $L[A \cup B] \models CH$.

• Take a forcing extensions V_1 of V_0 such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(Fn(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.

イロン 不得 とくほ とくほ とうほ

- $L[A \cup B] \models CH$.
- (a) $L[A \cup B] \models \exists b \ b \Vdash CH$.

• Take a forcing extensions V₁ of V₀ such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(Fn(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.
- $L[A \cup B] \models CH$.
- (a) $L[A \cup B] \models \exists b b \Vdash CH$.
 - $L[A \cup B] \models [e]^{L[A \cup B]}(\mathbb{R}^{L[A \cup B]}) \simeq d$ since $\mathbb{R}^{L[A \cup B]} = \mathbb{R}^{L[A]}$.

• Take a forcing extensions V₁ of V₀ such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(\operatorname{Fn}(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.
- $L[A \cup B] \models CH$.
- (a) $L[A \cup B] \models \exists b b \Vdash CH$.
 - $L[A \cup B] \models [e]^{L[A \cup B]}(\mathbb{R}^{L[A \cup B]}) \simeq d$ since $\mathbb{R}^{L[A \cup B]} = \mathbb{R}^{L[A]}$.

▲□▶▲□▶▲□▶▲□▶ □ のQ@

• $L_{\pi}[A] = L_{\pi}[A \cup B].$

Take a forcing extensions V₁ of V₀ such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(\operatorname{Fn}(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.
- $L[A \cup B] \models CH$.
- (a) $L[A \cup B] \models \exists b b \Vdash CH$.
 - $L[A \cup B] \models [e]^{L[A \cup B]}(\mathbb{R}^{L[A \cup B]}) \simeq d$ since $\mathbb{R}^{L[A \cup B]} = \mathbb{R}^{L[A]}$.
 - $L_{\pi}[A] = L_{\pi}[A \cup B].$
 - $L_{\pi}[A] \models (d)_0 = 1$, thus $L[A \cup B] \models (d)_0 = 1$.

• Take a forcing extensions V₁ of V₀ such that

and
$$V_1 \models 2^{\aleph_0} = \aleph_1$$

 $\mathbb{R}^{V_0} = \mathbb{R}^{V_1} \land (\aleph_1)^{V_0} = (\aleph_1)^{V_1}$

- Force with $(Fn(\aleph_1, \aleph_2, \aleph_1))^{V_0}$.
- V₁ has a bijection *h* between ℝ and ℵ₁. Code *h* into a set of ordinals *B* such that B ∩ π = Ø.
- $L[A \cup B] \models CH$.
- (a) $L[A \cup B] \models \exists b b \Vdash CH$.
 - $L[A \cup B] \models [e]^{L[A \cup B]}(\mathbb{R}^{L[A \cup B]}) \simeq d$ since $\mathbb{R}^{L[A \cup B]} = \mathbb{R}^{L[A]}$.
 - $L_{\pi}[A] = L_{\pi}[A \cup B].$
 - $L_{\pi}[A] \models (d)_0 = 1$, thus $L[A \cup B] \models (d)_0 = 1$.
 - **CONTRADICTION!** as $L[A \cup B] \models d \Vdash CH \lor \neg CH$, which implies $(d)_0 = 0$ by (a).

The End

The End

Thank You!

INDEFINITENESS OF MATHEMATICAL PROBLEMS?