

A Finitisation of the Infinite Ramsey Theorem

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(talk based on joint work with M. Escardó and T. Powell)

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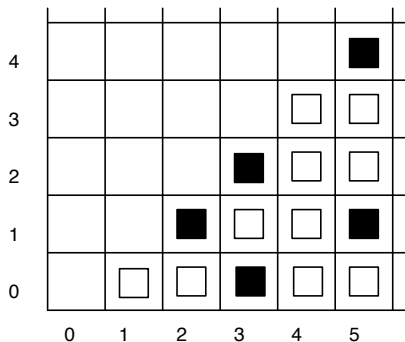
Outline

- 1 Infinite Ramsey Theorem
- 2 Backward Induction and Bar Recursion
- 3 Infinite Pigeonhole Principle and Dependent Choice
- 4 €100 Question

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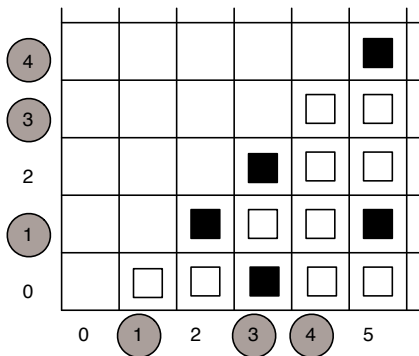
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Given a colouring of sets $\{x, y\} \in \mathcal{P}_2(\mathbb{N})$ (with $x \neq y$)



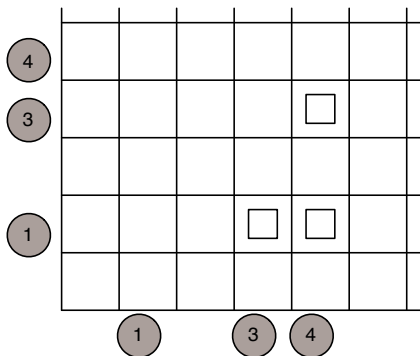
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The **infinite Ramsey's theorem** for pairs says

$$\exists x^{\mathbb{B}} \underbrace{\exists F^{\mathbb{N}^{\mathbb{N}}}}_{\text{set}} \forall i \forall j < i \left(\underbrace{Fj < Fi}_{\text{infinite}} \wedge \underbrace{c(Fj, Fi) = x}_{\text{monochromatic}} \right)$$

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We shall witness equivalent “no-counterexample” variant

$$\forall \varepsilon \exists x^{\mathbb{B}} \underbrace{\exists F^{\mathbb{N}^{\mathbb{N}}}}_{\text{approx}} \forall i \leq \varepsilon_x F \forall j < i \left(\underbrace{Fj < Fi}_{\text{large}} \wedge \underbrace{c(Fj, Fi) = x}_{\text{monochromatic}} \right)$$

where $\varepsilon_x: (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$

The Erdős/Radu Tree

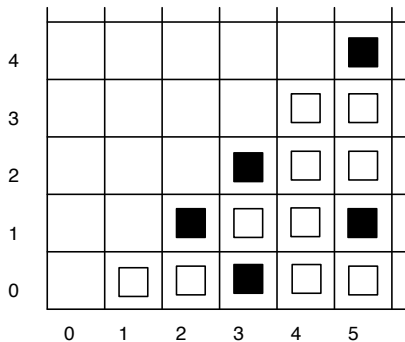
Definition

$0 \prec 1$ and $j \prec i$ if $\forall k \prec j (c(k, j) = c(k, i))$

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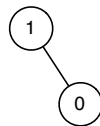
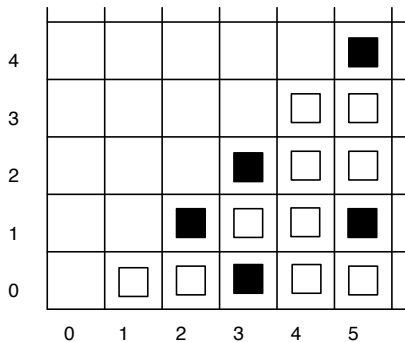
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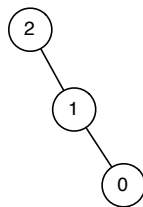
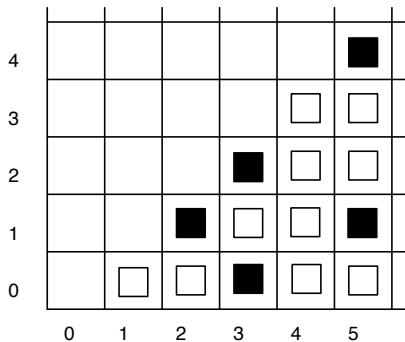
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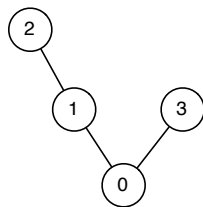
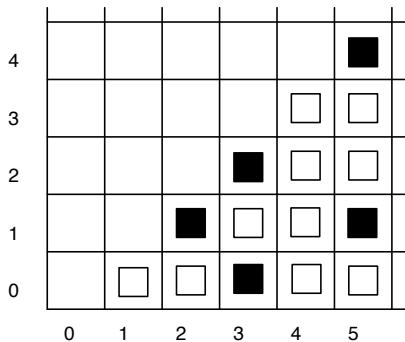
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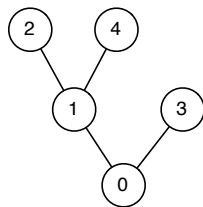
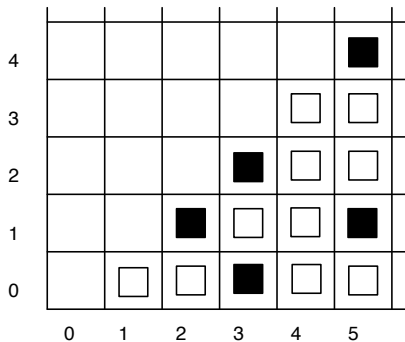
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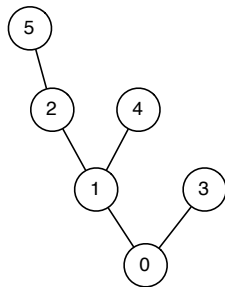
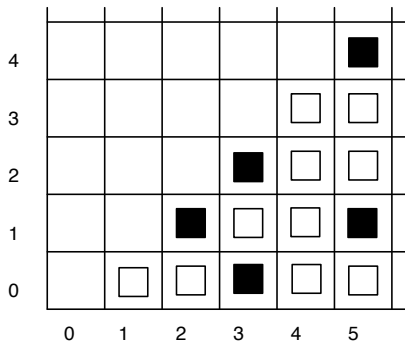
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- 5 Hence, $\alpha \circ p$ is a monochromatic set for c

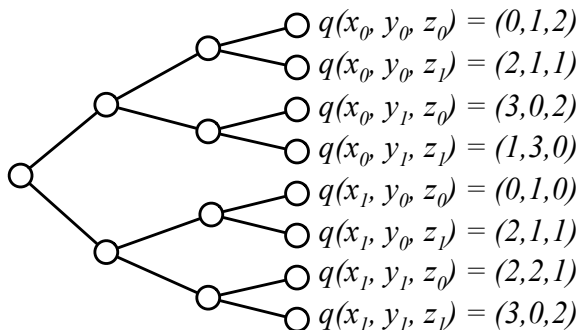
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Nash Equilibrium – Backward Induction

Three players, payoff function $q: X \times Y \times Z \rightarrow \mathbb{R}^3$

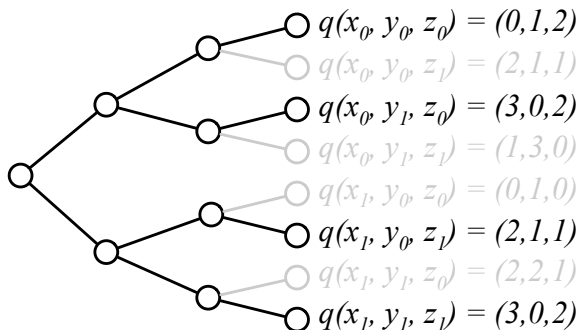
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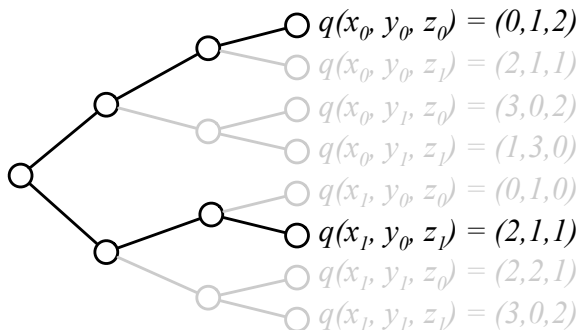
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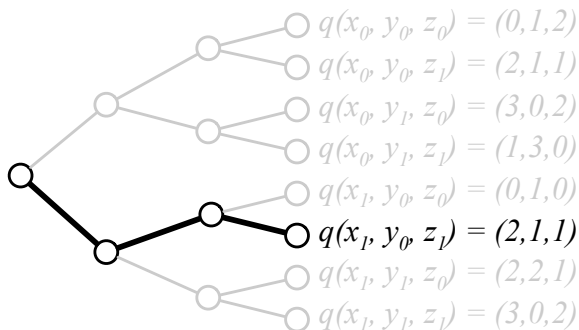
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Let $\operatorname{argmax}_i: (X_i \rightarrow \mathbb{R}^n) \rightarrow X_i$ find a point $x \in X_i$
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For $q: \prod_{j=i}^{n-1} X_j \rightarrow \mathbb{R}^n$, define

$$\operatorname{BI}_i^{n-1}(q) \stackrel{\prod_{j=i}^{n-1} X_j}{=} \begin{cases} [] & \text{if } i = n \\ c_i * \operatorname{BI}_{i+1}^{n-1}(q_{c_i}) & \text{otherwise} \end{cases}$$

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Each player's **optimal strategy** can be described as

$$\operatorname{next}_i(s) = \operatorname{argmax}_i(\underbrace{\lambda x. q_{s*x}(\operatorname{BI}_{i+1}^n(q_{s*x}))}_{p: X \rightarrow \mathbb{R}^n})$$

Spector's Bar Recursion

Let

$$s: X^* \quad \omega: X^{\mathbb{N}} \rightarrow \mathbb{N} \quad q: X^* \rightarrow R \quad \varepsilon_s: J_R X$$

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$$\text{EPS}_s^\omega(\varepsilon)(q) \stackrel{X^*}{=} \begin{cases} [] & \text{if } \omega(\hat{s}) < |s| \\ c * \text{EPS}_{s*c}^\omega(\varepsilon)(q_c) & \text{otherwise} \end{cases}$$

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Using product \otimes of **selection functions**

$$\text{EPS}_s^\omega(\varepsilon) \stackrel{J_R X^*}{=} \begin{cases} \lambda q. [] & \text{if } \omega(\hat{s}) < |s| \\ \varepsilon_s \otimes \lambda x. \text{EPS}_{s*x}^\omega(\varepsilon) & \text{otherwise} \end{cases}$$

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Given ε and q and ω define

$$s \stackrel{X^*}{=} \text{EPS}_{[]}^{\omega}(\varepsilon)(q)$$

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We have that

① there exist $p_i: X \rightarrow R$, for $i < |s|$, such that

$$s_i \stackrel{X}{=} \varepsilon_i p_i$$

$$qs \stackrel{R}{=} p_i(\varepsilon_i p_i)$$

② $\omega \hat{s} < |s|$

Computational Interpretation

Main theorem gives straightforward interpretations:

Σ_1^0 -comprehension \mapsto EPS for $\varepsilon: J_{\mathbb{N}}\mathbb{N}$

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Three nested calculations of **optimal strategies**

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Infinite Pigeonhole Principle IPP

Let $\mathbf{n} = \{0, 1, \dots, n - 1\}$

Given a colouring $c: \mathbb{N} \rightarrow \mathbf{n}$ the principle **IPP** says

$$\exists k < n \quad \underbrace{\exists p^{\mathbb{N} \rightarrow \mathbb{N}}}_{\text{subsequence}} \quad \forall i (\underbrace{p_i \geq i}_{\text{unbounded}} \wedge \underbrace{c(p_i) = k}_{\text{homogeneous}})$$

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We look at its **no-counterexample interpretation**

$$\forall \varepsilon \exists k < n \exists p^{\mathbb{N} \rightarrow \mathbb{N}} (p(\varepsilon_k p) \geq \varepsilon_k p \wedge c(p(\varepsilon_k p)) = k)$$

where k and p only need to be “good” at point $\varepsilon_k p$

Question: How to witness k and p given c and ε ?

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Hence, for k and p as above,

$$p(\varepsilon_k p) \geq \varepsilon_k p \quad c(p(\varepsilon_k p)) = k$$

Dependent Choice

Consider this version of Π_1 -**dependent choice**

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To witness α given ε, ω, q simply take

$$\alpha = \text{EPS}_{[]}^\omega(\varepsilon_i)(q)$$

$$s = [\alpha](\omega \alpha)$$

$$p = p_s$$

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Spector'62 first defines **general bar recursion**:

6.2. *Bar recursion*. For ease in reading we omit showing G, H, Y as arguments of ϕ .

$$\phi(x, C) = \begin{cases} G(x, \langle C0, \dots, C(x-1) \rangle) & \text{if } Y(\langle C0, \dots, C(x-1) \rangle) < x, \\ H[\lambda a \phi(x', \langle C0, \dots, C(x-1), a \rangle), x, \langle C0, \dots, C(x-1) \rangle] & \text{otherwise.} \end{cases}$$

Thus $\phi(x, C)$ is defined outright if $Y(\langle C0, \dots, C(x-1) \rangle) < x$, and in terms of $\lambda a \phi(x', \langle C0, \dots, C(x-1), a \rangle)$ otherwise.

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But only uses **restricted bar recursion**:

10. The interpretation of F is provable in Σ_1 . This is the only point where we make use of bar recursion, and we use it in the following restricted form, where the parameter G_0 is not exhibited as an argument of ϕ for greater readability.

$$\text{BR} \quad \phi_z Cx = \begin{cases} Cx & \text{if } x < z, \\ \mathbf{0} & \text{if } x \geq z \wedge Y(\langle C0, \dots, C(z-1) \rangle) < z, \\ \phi(z', \langle C0, \dots, C(z-1), a_0 \rangle, x) & \text{otherwise,} \end{cases}$$

where

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€100 Question: Quantifiers vs Selection Functions

Let

$$\phi_s: (X \rightarrow R) \rightarrow R \qquad \varepsilon_s: (X \rightarrow R) \rightarrow X$$

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$$\phi_s: (X \rightarrow R) \rightarrow R \quad \varepsilon_s: (X \rightarrow R) \rightarrow X$$

Spector general form is **iterated product of quantifiers**

$$\text{EPQ}_s^\omega(\phi) \stackrel{K_{R^X}}{\equiv} \begin{cases} \lambda q. q([\]) & \text{if } \omega(\hat{s}) < |s| \\ \phi_s \otimes^q \lambda x. \text{EPQ}_{s*x}^\omega(\phi) & \text{otherwise} \end{cases}$$

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Q: EPS is T-definable in EPQ, how about the converse?

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