Selection Functions in Proof Theory

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$(X \to \mathbb{B}) \to \mathbb{B}$



$\exists^X, \forall^X : (X \to \mathbb{B}) \to \mathbb{B}$



 $\exists^X, \forall^X : (X \to \mathbb{B}) \to \mathbb{B}$ $KX \equiv (X \to R) \to R$



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$$KX :\equiv (X \to R) \to R$$
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$$JX :\equiv (X \to R) \to X$$

(i) Both are strong monads $(T \in \{K, J\})$

 $X \to TX \qquad T^2 X \to TX \qquad (X \wedge TY) \to T(X \wedge Y)$



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(i) Both are strong monads $(T \in \{K, J\})$

 $X \to TX$ $T^2X \to TX$ $(X \wedge TY) \to T(X \wedge Y)$

(ii) There is a monad morphism

 $JX \to KX$



$$KX :\equiv (X \to R) \to R$$
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(i) Both are strong monads $(T \in \{K, J\})$ $X \to TX$ $T^2X \to TX$ $(X \wedge TY) \to T(X \wedge Y)$ (ii) There is a monad morphism $JX \to KX$ (iii) And, if $R \to X$ then

$$KX \to JX$$

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Let us call elements ε : JX selection functions



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$$\overline{\varepsilon}(p) = p(\varepsilon p)$$



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 $\phi(p) = p(\varepsilon p)$



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 ε : JX is a selection function for ϕ : KX if

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holds for all $p \colon X \to R$



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 ϕ : KX is called **attainable** if it has a selection function



Strength

Strength gives (for $T \in \{K, J\}$)

 $\otimes \quad : \quad TX \times TY \to T(X \times Y)$

Products of selection functions and generalised quantifiers

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Products of selection functions and generalised quantifiers

Moreover, given $(\overline{\cdot}): JX \to KX$ we have

$$\overline{\varepsilon} \otimes \overline{\delta} = \overline{\varepsilon \otimes \delta}$$

The product of attainable quantifiers is attainable



Advert

Selection functions and in particular their product $\otimes: JX \times JY \to J(X \times Y) \text{ arise in }$

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- Game theory (Backward induction)
- Fixed point theory (Bekič's lemma)
- Algorithms (Backtracking)
- Proof theory (as we shall see ...)

Outline



- Hilbert's epsilon terms
- Kreisel's no-counterexample functionals

2 Novel

- New negative translation
- Alternative interpretation of analysis

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3 Conclusions

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- Known

Hilbert's epsilon terms

Hilbert: Problem and Approach to Solution

Problem

Prove the consistency of mathematics by finitary means



- Known

Hilbert's epsilon terms

Hilbert: Problem and Approach to Solution

Problem

Prove the consistency of mathematics by finitary means Approach

1. Eliminate quantification via "epsilon" terms

 $\exists^X p \to p(\varepsilon_p) \qquad \text{(epsilon axioms)}$

2. Show that Maths can be done in the "epsilon" calculus

3. Show that any finite set of axioms has a model



Hilbert's Heritage

Let's have a closer look at the epsilon terms (and axiom)

$$\exists^X p \leftrightarrow p(\varepsilon_p)$$



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 ε is in fact a third order functional

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of type

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A selection functions for $\exists^X : KX!$



Known

-Kreisel's no-counterexample functionals

No-counterexample Interpretation

Kreisel's main observation:

 $\mathsf{PA} \vdash \exists x^X \forall y^Y p(x, y)$



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No-counterexample Interpretation

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$$\begin{split} \mathsf{PA} \vdash \exists x^X \forall y^Y p(x,y) \quad \Rightarrow \quad \forall f^{X \to Y} p(\varepsilon f, f(\varepsilon f)) \\ \text{for a recursive } \varepsilon \colon (X \to Y) \to X. \end{split}$$



- Known

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No-counterexample Interpretation

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 for a recursive $\varepsilon \colon (X \to Y) \to X. \end{split}$

Although witness x^X may not be produced recursively, the selection function ε is!

Classical logic is interpreted by moving from

elements of $X \mapsto$ selection functions over X



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- Novel

New negative translation

Gödel-Gentzen Negative Translation

Let $R = \bot$. Then $KX = \neg \neg X$. $P^{K} = KP$ $(A \land B)^{K} = A^{K} \land B^{K}$ $(A \lor B)^{K} = K(A^{K} \lor B^{K})$ $(A \to B)^{K} = A^{K} \to B^{K}$ $(\forall xA)^{K} = \forall xA^{K}$ $(\exists xA)^{K} = K(\exists xA^{K})$



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What if we use J instead of K?

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└─ New negative translation

The Peirce Translation

P^J	=	JP
$(A \wedge B)^J$	=	$A^J \wedge B^J$
$(A \lor B)^J$	=	$J(A^J \vee B^J)$
$(A \to B)^J$	=	$A^J \to B^J$
$(\forall xA)^J$	=	$\forall x A^J$
$(\exists xA)^J$	=	$J(\exists x A^J)$



- Novel

New negative translation

The Peirce Translation

$$P^{J} = JP$$

$$(A \land B)^{J} = A^{J} \land B^{J}$$

$$(A \lor B)^{J} = J(A^{J} \lor B^{J})$$

$$(A \to B)^{J} = A^{J} \to B^{J}$$

$$(\forall xA)^{J} = \forall xA^{J}$$

$$(\exists xA)^{J} = J(\exists xA^{J})$$

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As $KA^K \to A^K$ we also have $JA^J \to A^J$

- Novel

- New negative translation

The Peirce Translation

Theorem

A is provable in minimal logic plus Peirce's law

$$\underbrace{((A \to R) \to A)}_{JA} \to A$$

if and only if A^J is provable in minimal logic

— Novel

- New negative translation

The Peirce Translation

Theorem

A is provable in minimal logic plus Peirce's law

$$\underbrace{((A \to R) \to A)}_{IA} \to A$$

if and only if A^J is provable in minimal logic

In fact, this is more fundamental

Gödel-Gentzen follows from Peirce (since $J \mapsto K$)

Alternative interpretation of analysis

Interpreting Mathematical Analysis

Mathematical analysis is based on comprehension

$$\exists f \forall n^{\mathbb{N}} (fn = 0 \leftrightarrow A(n))$$



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Comprehension follows classically from countable choice

$$\forall n^{\mathbb{N}} \exists b^{\mathbb{B}} A(n,b) \to \exists f \forall n A(n,fn)$$



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Countable choice is classically computational up to DNS

$$\forall n \neg \neg A(n) \rightarrow \neg \neg \forall n A(n)$$



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Novel

Alternative interpretation of analysis

Double Negation Shift

The double negation shift **DNS**

$$\forall n \neg \neg A(n) \to \neg \neg \forall n A(n)$$

corresponds to the type

$$\Pi_n K A_n \to K \Pi_n A_n$$



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corresponds to the type

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DNS is interpreted by using that $\bot \rightarrow A_n$ and reducing to

$$\Pi_n J A_n \to J \Pi_n A_n$$

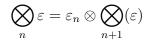
The type of the **countable product** of selection functions!

Bar Recursion

Recall

$$\otimes \quad : \quad JX \times JY \to J(X \times Y)$$

Let





Bar Recursion

Recall $\otimes : JX \times JY \to J(X \times Y)$ Let $\bigotimes_{n} \varepsilon = \varepsilon_{n} \otimes \bigotimes_{n+1} (\varepsilon)$ Then $\bigotimes \text{ realizes } \Pi_{n}JA_{n} \to J\Pi_{n}A_{n}$

and hence (with Gödel's T) realises full classical analysis

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Conclusions

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Can we use selection functions instead? Elementary analysis?

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2. Intuition

Predicate Logic	Fixed use of EM	$arepsilon\otimes\delta$
Arithmetic	Unbounded use of EM	$\bigotimes_{i=0}^n \varepsilon_i$
Analysis	Countable use of EM	$\bigotimes_{i=0}^{\infty} \varepsilon_i$



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3. Selection functions compute **optimal moves** in a well defined notion of sequential game

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Analyse concrete proofs in mathematics from such perspective



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For more information



M. Escardó and P. Oliva

Selection functions, bar recursion and backward induction *To appear in MSCS*, 42 pages, 2010

M. Escardó and P. Oliva

Computational interpretations of analysis via products of selection functions

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Proceedings of CiE, 10 pages, 2010

M. Escardó and P. Oliva

The Peirce translation and the double negation shift *Submitted for publication*, 10 pages, Jan 2010