

Spector's Bar Recursion as a Product of Selection Functions

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Outline

- 1 Selection Functions
- 2 Generalised Games
- 3 Spector's Solution

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Generalised quantifiers

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Operation	$\phi : (X \rightarrow R) \rightarrow R$
Quantifiers	$\forall_X, \exists_X : (X \rightarrow \mathbb{B}) \rightarrow \mathbb{B}$
Integration	$\int_0^1 : ([0, 1] \rightarrow \mathbb{R}) \rightarrow \mathbb{R}$
Supremum	$\sup_{[0,1]} : ([0, 1] \rightarrow \mathbb{R}) \rightarrow \mathbb{R}$
Limit	$\lim : (\mathbb{N} \rightarrow R) \rightarrow R$
Fixed point operator	$\text{fix}_X : (X \rightarrow X) \rightarrow X$



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$$\phi : (X \rightarrow R) \rightarrow R \quad (\equiv K_R X)$$

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Definition (Product of Generalised Quantifiers)

Given $\phi: K_R X$ and $\psi: K_R Y$ define $\phi \otimes \psi : K_R(X \times Y)$

$$(\phi \otimes \psi)(p) \stackrel{R}{\equiv} \phi(\lambda x^X. \psi(\lambda y^Y. p(x, y)))$$

where $p: X \times Y \rightarrow R$.



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For instance

$$(\exists_X \otimes \forall_Y)(p^{X \times Y \rightarrow \mathbb{B}}) \stackrel{\mathbb{B}}{\equiv} \exists x^X \forall y^Y p(x, y)$$

$$(\sup \otimes \int)(p^{[0,1]^2 \rightarrow \mathbb{R}}) \stackrel{\mathbb{R}}{\equiv} \sup_x \int_0^1 p(x, y) dy$$



Theorem (Mean Value Theorem)

For any $p \in C[0, 1]$ there is a point $a \in [0, 1]$ such that

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Theorem (Witness Theorem)

For any $p: X \rightarrow \mathbb{B}$ there is a point $a \in X$ such that

$$\exists x^X p(x) \Leftrightarrow p(a)$$

(similar to Hilbert's ε -term).

Let $J_R X := (X \rightarrow R) \rightarrow X$.

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$$\phi(p) = p(\varepsilon p)$$

holds for all $p: X \rightarrow R$.

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Definition (Attainable Quantifiers)

A generalised quantifier $\phi: K_R X$ is called **attainable** if it has a selection function $\varepsilon: J_R X$.

The Mapping $(\bar{\cdot}) : J_R \mapsto K_R$

Every element

$$\varepsilon : J_R X$$

is a selection function for the (attainable) quantifier

$$\bar{\varepsilon} p \stackrel{R}{:=} p(\varepsilon p).$$

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We define a product of selection functions such that

$$\overline{\varepsilon \otimes \delta} = \bar{\varepsilon} \otimes \bar{\delta}$$

Definition (Product of Selection Functions)

Given $\varepsilon: J_R X$ and $\delta: J_R Y$ define $\varepsilon \otimes \delta: J_R(X \times Y)$ as

$$(\varepsilon \otimes \delta)(p^{X \times Y \rightarrow R}) \stackrel{X \times Y}{:=} (a, b(a))$$

where

$$a \quad := \quad \varepsilon(\lambda x. p(x, b(x)))$$

$$b(x) \quad := \quad \delta(\lambda y. p(x, y)).$$

Quantifier Elimination

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In fact, $(\varepsilon \otimes \delta)(p) = (a, b(a))$.

Main Theorem

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Proof.

Let $a = \varepsilon(\lambda x.q(x, b(x)))$ and $b(x) = \delta(\lambda y.q(x, y))$. Then

$$\begin{aligned} (\bar{\varepsilon} \otimes \bar{\delta})(q) &= \bar{\varepsilon}(\lambda x.\bar{\delta}(\lambda y.q(x, y))) \\ &= \bar{\varepsilon}(\lambda x.q(x, b(x))) \\ &= q(a, b(a)) \\ &= q((\varepsilon \otimes \delta)(q)) \\ &= \overline{(\varepsilon \otimes \delta)}(q). \end{aligned}$$



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Finite Games (n rounds)

 X_i

available **moves** at round i

 R

set of **possible outcomes**

 $q: \prod_{i=0}^{n-1} X_i \rightarrow R$

outcome function

 $\phi_i: (X_i \rightarrow R) \rightarrow R$

round i **outcome quantifier**

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Definition (Optimal outcome and moves)

For $\vec{x} \equiv x_0, \dots, x_{k-1}$ call

$$w_{\vec{x}} := \bigotimes_{i=k}^{n-1} (\phi_i)(q_{\vec{x}})$$

the **optimal outcome** of sub-game x_0, \dots, x_{k-1} .

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 Move a_k is an **optimal move** at round k if $w_{\vec{x}} = w_{\vec{x} * a_k}$.

Finite Games (n rounds)

Theorem

If ϕ_k are attainable (with selection functions ε_k) then

(i) $\vec{a}_{\vec{x}} := \bigotimes_{i=k}^{n-1} (\varepsilon_i)(q)$

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(iii) Let $p_k := \lambda x_k. w_{a_0, \dots, a_{k-1} * x_k}$. Then

$$a_k = \varepsilon_k(p_k) \quad \text{and} \quad p_k(a_k) = p_j(a_j) = q(\vec{a}).$$

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(iii) Let $p_k := \lambda x_k. w_{\bar{\alpha}k*x_k}$. We have, for all k ,

$$\alpha(k) = \varepsilon_k(p_k) \quad \text{and} \quad p_k(\alpha(k)) = q(\alpha)$$



In Other Words...

Theorem

Given

$$\varepsilon_k \quad : \quad (X_k \rightarrow R) \rightarrow X_k$$

$$q \quad : \quad \prod_{i=0}^{\infty} X_i \rightarrow R$$

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Except that infinite products might not be defined!

R might not be discrete.

The Good News

Spector's Problem

Given $\omega, \varepsilon(\cdot)$ and q find $n, \alpha, p(\cdot)$ satisfying

$$\begin{array}{rcl} n & \stackrel{\mathbb{N}}{=} & \omega(\alpha) \\ \alpha(n) & \stackrel{X_n}{=} & \varepsilon_n(p_n) \\ p_n(\alpha(n)) & \stackrel{R}{=} & q(\alpha) \end{array}$$

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Good News. We don't need to play optimally forever.

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Conditional iteration

Iterated product

$$\bigotimes_k(\varepsilon) = \varepsilon_k \otimes \left(\bigotimes_{k+1}(\varepsilon) \right)$$

in general fails if R not discrete (even assuming continuity).

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Spector's solution

$$\bigotimes_s(\varepsilon)(q) \stackrel{\prod_{i=|s|}^{\infty} X_i}{=} \begin{cases} 0 & \text{if } \omega_s(\mathbf{0}) < |s| \\ (\varepsilon_{|s|} \otimes \lambda x. \bigotimes_{s*x}(\varepsilon))(q) & \text{otherwise.} \end{cases}$$

Spector's Oversight (?)

In finding the solution (a product of **selection functions**)

$$\bigotimes_s (\varepsilon)(q) \prod_{i=k}^{\infty} X_i \begin{cases} \mathbf{0} & \text{if } \omega_s(\mathbf{0}) < |s| \\ (\varepsilon_{|s|} \otimes \lambda x. \bigotimes_{s*x} (\varepsilon))(q) & \text{otherwise.} \end{cases}$$

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Spector generalises recursion scheme as product of **quantifiers**!

$$\bigotimes_s (\phi)(q) \stackrel{R}{=} \begin{cases} g(\mathbf{0}) & \text{if } \omega_s(\mathbf{0}) < |s| \\ (\phi_{|s|} \otimes \lambda x. \bigotimes_{s*x} (\phi))(q) & \text{otherwise.} \end{cases}$$

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$$\bigotimes_s (\phi)(q) \stackrel{R}{=} \begin{cases} g(\mathbf{0}) & \text{if } \omega_s(\mathbf{0}) < |s| \\ \phi_{|s|}(\lambda x. \bigotimes_{s*x} (\phi)(q_x)) & \text{otherwise.} \end{cases}$$

For more information



M. Escardo and P. Oliva

Selection functions, bar recursion and backward induction

Submitted, July 2009



M. Escardo and P. Oliva

Instances of bar recursion as iterated products of selection functions
and quantifiers

In preparation

